

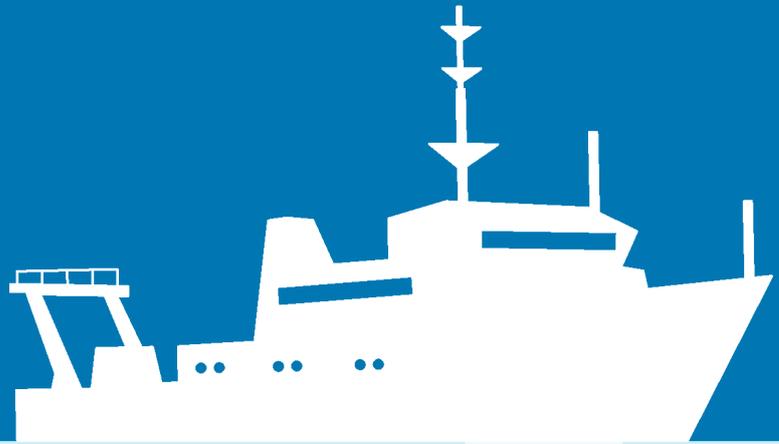


**AUS**  
SEABED

# Australian Multibeam

GUIDELINES

2020



with contribution from the  
National Environmental  
Science Programme





# Australian Multibeam Guidelines

## AusSeabed

GEOSCIENCE AUSTRALIA  
RECORD 2018/19

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Version 2.0

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# Contents

List of Figures.....	iii
List of Tables.....	iii
Record of changes.....	iv
Acknowledgements.....	v
1 Introduction.....	1
1.1 Scope.....	3
1.2 How to use these guidelines.....	4
1.3 Related standards and publications.....	5
2 Pre-survey planning.....	6
2.1 National coverage consultation and upcoming survey register.....	7
2.1.1 Existing data coverage.....	7
2.1.2 National Bathymetry priorities.....	7
2.1.3 AusSeabed Coordination Tool.....	7
2.2 Research and survey permits.....	8
2.3.1 Data type, formats, and metadata.....	8
2.3.2 Survey area characterization.....	12
2.3.3 Data representation (seafloor coverage and resolution).....	13
2.3.4 Quality assessment / uncertainty scheme.....	14
2.3.5 Platforms & systems.....	14
2.3.6 Dimension control of sensor offsets.....	15
2.4 Project team.....	15
2.5 Field survey instructions.....	16
2.5.1 Geodetic control and horizontal datum.....	16
2.5.2 Tidal or ellipsoidal datum.....	16
2.5.3 Sound velocity profiling.....	18
2.5.4 Time and date.....	18
2.5.5 Line planning.....	18
2.5.6 Seabed samples.....	20
2.6 Submission of plan, data and notifications.....	20
3 Mobilisation, calibration and validation.....	21
3.1 Overview.....	21
3.2 Dimensional control.....	22
3.2.1 Physical offset survey.....	22
3.2.2 Rotation offset survey.....	23
3.3 Horizontal positioning.....	23
3.4 Vertical positioning.....	24
3.4.1 Depth validation.....	24
3.4.2 Settlement and squat.....	24
3.4.3 Vessel draft.....	25
3.4.4 Sound velocity.....	25
3.4.5 Tidal station.....	25
3.5 Patch test.....	25

3.6 Seafloor backscatter calibration.....	25
3.7 Water column backscatter calibration.....	26
3.8 Built-in systems test.....	26
3.9 Final acceptance test.....	26
4 Acquisition.....	27
4.1 Survey plan.....	27
4.2 Project structure and nomenclature.....	27
4.3 Systems settings.....	27
4.3.1 Bathymetry.....	28
4.3.2 Backscatter.....	28
4.3.3 Transit data.....	28
4.4 Ancillary systems.....	28
4.4.1 Sound velocity profile.....	28
4.4.2 Tides.....	29
4.5 Monitoring, QA/QC & data backup.....	29
4.5.1 GNSS positioning.....	30
4.6 Mandatory notifications.....	30
4.6.1 Dangers found – hydrographic notes.....	30
4.6.2 Underwater cultural heritage notification.....	30
5 Data processing.....	32
5.1 Data processing considerations.....	32
5.1.1 During survey.....	32
5.1.2 Post-survey.....	32
5.1.3 Backscatter processing requirements.....	34
5.2 Total propagated uncertainties (TPU).....	34
6 Reports.....	36
6.1 Mobilisation, calibration and validation records.....	36
6.1.1 Logs.....	36
6.1.2 Report.....	36
6.2 Records of survey.....	37
6.2.1 Logs.....	38
6.2.2 Report of Survey.....	38
7 Data submission and release.....	40
7.1 Data submission to AusSeabed.....	40
8 Multibeam acoustics for marine monitoring.....	42
9 References.....	44
Appendices.....	48
Appendix A – Abbreviations.....	48
Appendix B – Glossary.....	50
Appendix C – Legislation and permitting.....	52
Appendix D – Guideline on timeframe for actions.....	55
Appendix E – Total Propagated Uncertainties.....	56
Appendix F – Patch test.....	57
Appendix G – IHO Standards.....	58
Appendix H – Records templates.....	59
H.1 Mobilisation, calibration and validation report.....	59
H.2 AusSeabed minimum required metadata.....	59

H.3 Survey log sheet templates .....	62
H.4 Report of Survey template .....	64

## List of Figures

Figure 1 Anticipated key areas of relevance for the Australian Multibeam Guidelines. ....	3
Figure 2 Extent of GDA2020 on the Australian continental shelf (Geoscience Australia) .....	16
Figure 3 Schematic of datum and associated reduction information (Mills & Dodd, 2014) .....	17
Figure 4 Diagram of ideal swath overlap (After Lamarche and Lurton, 2017). ....	20
Figure 5 Diagram of dimensional control for MBES system (After Gardner et al., 2002) .....	23
Figure 6 Horizontal resolution according to depth range for various existing standards. ....	33
Figure B1 100% swath coverage with 10-20 % overlap to account for ship role and line keeping (AHO, 2018) .....	50
Figure B2 200% swath coverage with 100 % overlap (AHO, 2018) .....	50
Figure F1 Proposed line pattern for single head sonar patch test .....	57
Figure F2 Proposed line pattern for <b>dual-head</b> sonar patch test .....	57

## List of Tables

Table 1 Key stakeholders benefiting from better coordination and availability of seabed mapping data and the type of data they preferentially use (note the list is not exhaustive, but intended to give examples) .....	2
Table 2 Relevance to the various user groups by document section number. However, all stakeholders will find useful information in all sections .....	4
Table 3 Summary list of pre-survey planning tools proposed in the section.....	6
Table 4 AusSeabed Data Level Definitions.....	9
Table 5 Preferred data formats by data type and level. ....	10
Table 6 Overview of required metadata. ....	12
Table 7 MBES footprint (m) at nadir and beam width (deg). The beam footprint for a MBES increases in the outer beams. ....	13
Table 8 Contact details of management agencies to notify for wrecks.....	31
Table 9 Matrix of depth range used to guide horizontal resolution of bathymetry grids. Modified from NOAA (2019).....	33
Table 10 Example Sounding Accuracy - TPU (calculated at $1\sigma$ , but most software computes at $2\sigma$ ) .....	34
Table 11 Data required for submission to AusSeabed.....	41
Table 12 Standard Operating Procedures for MBES surveys aimed for benthic habitat mapping according to purpose: Baseline surveys or Monitoring surveys .....	43
Table A1 Abbreviations used in this document .....	48
Table C1 List of documents relevant to multibeam activities in the Commonwealth waters (defined as 3 nautical miles seaward to the outer boundary of the EEZ, 200 nautical miles).	

Extracted from Marine Sampling Field Manuals (Przeslawski and Foster, 2018). Similar issues should be considered when working in coastal waters of States and the Northern Territory..... 53

Table C2 Weblinks to state and territory permits..... 54

Table D1 Estimated time frame required to perform some of the swath system related tasks. These estimates are to assist in survey planning, but note that they can vary considerably depending on the difficulty or the issues arising from the task performed. .... 55

Table E1 Sounding Accuracy - Example MBES Total Propagated Uncertainty Estimates to a 95 % CL..... 56

Table G1 IHO standards for hydrographic surveys (S-44). Read in conjunction with document (IHO, 2008). These are presently in review by the IHO. .... 58

Table G2 HIPP standards for hydrographic surveys (AHO, 2018)..... 58

Table H1 Required Metadata for data submitted to AusSeabed..... 59

## Record of changes

<b>Version</b>	<b>Date</b>	<b>Change</b>	<b>Authority</b>
Version 1.1	4 June 2018	Version 1.1 Published	Kim Picard
Version 2.0	08 July 2020	General updates to most sections reflecting evolution of data processes and community protocols. Requirements for MBES surveys in for Marine monitoring included as a final chapter.	Aero Leplastrier

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# 1 Introduction

High-resolution seafloor mapping has developed into a significant component of the marine surveying industry in the past few decades, with a rapid growth in demand for this fundamental marine geospatial data. There is a large and increasing number of drivers and applications for this data, including:

- navigation and safety of life at sea
- environmental assets management (including fisheries management)
- ocean and climate modelling
- hydrodynamic modelling
- coastal and nearshore sediment mapping
- resource development
- aquaculture planning
- oil and gas subsea assets integrity
- telecommunication cable deployment
- renewable energy assessments
- marine spatial planning
- territorial claims
- demonstration of Antarctic presence
- underwater cultural heritage management
- artificial reef development.

These applications have resulted in seafloor mapping in locations from the upper reaches of estuaries to the abyssal plains from the tropics as far north as Papua New Guinea to the Southern Ocean to the waters of the Australian Antarctic Territory.

In Australia, apart from port and harbour surveying, much of the focus has been on mapping areas of the continental shelf and slope at varying levels of coverage and resolution, which reflect the drivers for mapping, vessel and gear availability, and the combination of targeted and opportunistic data collection. However, despite a significant increase in survey coverage in the past decade, less than 25% of the seafloor in Australia's maritime jurisdiction has been mapped to a relatively high-resolution.

Since only the narrow coastal margin of the seafloor can be detected by airborne or satellite sensors (e.g. lasers; multi-spectral scanners), swath acoustic mapping systems, principally multibeam echosounders (MBES) and bathymetric sidescan sonars (interferometric sonar), are used to map Australia's seafloor. These systems measure water depth, seabed backscatter (commonly known as seabed hardness), and in some cases with MBES, water-column backscatter. Multibeam sonar data is acquired by a wide range of organisations. However, to better realise the value of these data, collaboration is needed to build broad regional and national seabed data sets. Key to this is the

development of common standards for data acquisition, processing and reporting. This data needs to be openly available, and easily accessible for reuse to benefit the wider community (Table 1)

The primary objective of this guideline is to establish standardised approaches to the acquisition and processing of MBES data. Use of these guidelines will improve consistency in the collection and description of the data, enhancing its quality and utility for uptake.

To achieve this objective, [AusSeabed](#) was formed, a national seabed mapping coordination program run by a consortium of representatives from Commonwealth and State governments, universities and industry. AusSeabed’s role is to encourage and facilitate the acquisition of seabed mapping data and make it available for use by all stakeholders. As such, the program runs a series of coordinated initiatives, including:

- the production of maps identifying completed surveys and priority areas for Commonwealth and State Government agencies
- maintenance of the Australian Multibeam Guidelines (this document)
- the [AusSeabed website](#) hosting various resources including survey planning and data management tools, and a [data portal](#) providing a gateway to existing data coverage and custodians.

*Table 1 Key stakeholders benefiting from better coordination and availability of seabed mapping data and the type of data they preferentially use (note the list is not exhaustive, but intended to give examples)*

Stakeholder	Preferred data type	
	Source data (raw or processed files)	Products (e.g. maps of seabed depths, habitat, morphology)
Department of Defence (e.g. Hydrography, Mine warfare)	X	X
Marine parks (Australia or States Marine Parks)		X
Department of Industry, Innovation and Science (e.g. NOPSIMA)		X
Industry (Oil & Gas; Infrastructure)	X	X
State coastal planning and management groups		X
Maritime Jurisdiction (Geoscience Australia)	X	
Australian Tsunami Advisory group		X
State and Commonwealth research institutions (e.g. CSIRO, Geoscience Australia, State environment and fisheries agencies)	X	X
Universities	X	X

Overall these initiatives aim to achieve a number of specific objectives, including:

- collation of a historically sorted dataset at an identified level of quality available to all stakeholders within Australia and beyond
- identification of areas where new data collections are prioritised

- enabling stakeholders to better leverage Australia’s seabed mapping expertise and capabilities
- providing tools to allow efficient and consistent pre-survey planning
- promote data availability, collaboration and innovation with stakeholders
- utilising national resources in a coordinated effort to map Australia’s seabed
- providing clear guidelines that aim to improve data acquisition methods and compliance with recognised standards
- ensuring better informed management of Australian waters through easy access standards-compliant seabed data

## 1.1 Scope

The Australian Multibeam Guidelines were established by the AusSeabed consortium. The guidelines provide recommended procedures for survey planning, data acquisition and submission. They are designed for a range of audiences, from those experienced in seafloor mapping using multibeam sonar systems, non-experts who are developing mapping capabilities, and those [contracting seafloor mapping surveys](#) using swath systems.

These guidelines aim to improve interoperability, discoverability and accessibility of MBES system data, and encourage improved acquisition standards to better meet end-user requirements. We acknowledge that to achieve such an aim, adaptation of the project might be necessary and could impact time and cost. However, in most cases, the inconvenience of varying parameters will be outweighed by the increased utility of the data to a wider user base.

These guidelines aim to provide a standard set of requirements for seafloor mapping activities conducted in Australian waters and comply with international initiatives (such as Seabed2030) to ensure that national efforts can provide global impact. They are designed to complement the purpose-based requirements and associated documentation related to specific survey requirements (e.g., hydrographic surveys; seabed infrastructure planning or installation) (Figure 1).

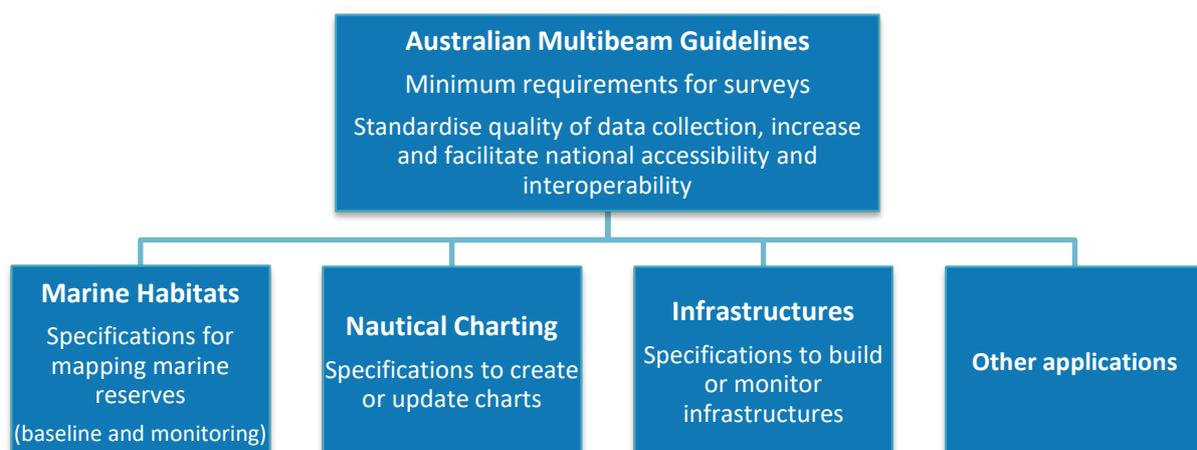


Figure 1 Anticipated key areas of relevance for the Australian Multibeam Guidelines.

The guidelines include a broad examination of data processing and guidance for data submission with recommendations for all three types of swath acoustic data (bathymetry, backscatter and water column backscatter) relevant across all water depths and adopt international guidelines where appropriate. They do not include instrument preparation activities such as bench/workshop tests, personnel requirements, or provide survey costing information (see section 5.3.4 of Przeslawski et al. 2018a for MBES Costing). This revision of the Australian Multibeam Guidelines (version 2) contains information previously published in the *Seafloor Mapping Field Manual for Multibeam Sonar* (Lucieer et al., 2018) as chapter 8 (*Multibeam acoustics for marine monitoring*) and, as such, will also succeed the *Seafloor Mapping Field Manual for Multibeam Sonar* as chapter 3 in the second release of the National Environmental Science Program (NESP) *Field manuals for Marine Sampling to Monitor Australian Waters* (Przeslawski and Foster, 2018). The decision to make this extension to the guidelines and inclusion in the NESP suite of Field Manuals was made by AusSeabed and the NESP to allow both initiatives to continue with a single reference document to inform seabed mapping and eliminate the complications and community confusion associated with the maintenance of two reference documents with extensive overlap.

## 1.2 How to use these guidelines

To help navigate these guidelines, [Table 2](#) identifies sections that are more relevant to various user-groups. Glossaries of abbreviations and terms are included in appendices [A](#) and [B](#), and a variety of tools and resources available are included in [Table 3](#). Some tools are still under development and will be shared through the [AusSeabed website](#).

These guidelines do not include a full and comprehensive technical description of MBES systems, but rather, provide a list of pertinent references, such as Hughes-Clarke (2017a). They also refer to related guidelines where relevant.

*Table 2 Relevance to the various user groups by document section number. However, all stakeholders will find useful information in all sections*

Section	Non-expert groups	Expert groups
<b>1 Introduction</b>	All	All
<b>2 Pre-survey planning</b>	All	2.1; 2.2; 2.4; 2.5; 2.6
<b>3 Mobilisation, calibration and validation</b>	3.1; 3.9	All
<b>4 Acquisition</b>	4.1; 4.6	All
<b>5 Data processing</b>	5.3	All
<b>6 Reports</b>	All	All
<b>7 Data submission and release</b>	All	All
<b>8 Multibeam acoustics for marine monitoring</b>	All	All

## 1.3 Related standards and publications

The following publications and resources provide information to underpin the collection of geospatial data and augment these guidelines. The complete references can be found in [section 9](#), but the most recent published versions of key documents are:

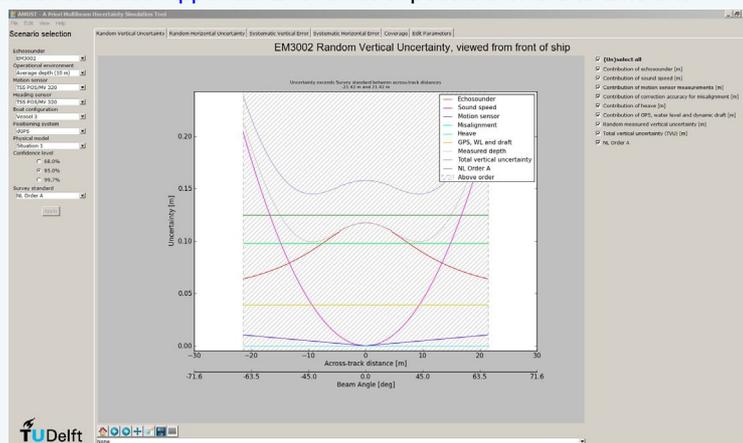
1. AHO, 2018. [Hydroscheme Industry Partnership Program - Statement of Requirements](#)
2. AHO. [Hydrographic Note](#), Australian Hydrographic Office
3. AHO. [Seafarer's Handbook for Australian Water \(AHP20\)](#)
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6. GeoHab Backscatter Working Group, 2015. [Backscatter measurements by seafloor-mapping sonars: Guidelines and Recommendations](#).
7. Godin, A., 1998. [The Calibration of Shallow Water Multibeam Echo-Sounding Systems](#), Technical Report No. 190.
8. Hughes-Clarke, J.E., 2003. [A reassessment of vessel coordinate systems: what is it that we are really aligning?](#)
9. ICSM, 2018. [Geocentric Datum of Australia Technical Manual](#).
10. ICSM, 2004. [Australian Tides Manual \(SP9\)](#).
11. ICSM, 2014a. [Guidelines for Control Surveys by GNSS \(SP1\)](#).
12. ICSM, 2014b. [Guidelines for Control Surveys by Differential Levelling \(SP1\)](#).
13. ICSM, 2014c. [Standard for the Australian Survey Control Network \(SP1\)](#).
14. IHO, 2008. [IHO Standards for Hydrographic Survey, \(S-44\)](#)
15. IHO, 2013. [Manual on Hydrography \(C-13\)](#).
16. IHO, 2015. [INT1 Symbols, Abbreviations and Terms used on Charts](#).
17. IOGP, 2018. [Seabed Survey Data Model \(SSDM\)](#)
18. Lamarche G. and Lurton X., 2017. [Recommendations for improved and coherent acquisition and processing of backscatter data from seafloor-mapping sonars](#).
19. LINZ, 2016, [Contract Survey Specifications for Hydrographic Surveys, Vers. 1.3](#)
20. Lucieer V. et al., 2017. [Seamap Australia](#)
21. Przeslawski R. et al., 2018. [NESP field Manual for grab and box core sampling](#)

## 2 Pre-survey planning

The acquisition of data is the most expensive element of a seabed mapping project. Therefore, it is essential that this phase of a survey is optimised by undertaking adequate pre-survey planning. This section of the guidelines identifies key aspects of the planning phase that can be improved for more efficient and effective surveys. They also present tools and resources available that can help (Table 3). These resources are also hosted on the [AusSeabed](#) website, and we encourage using the website to discover the full breath of available resources and future updates. The [IHO C-13 Manual on Hydrography](#) also provides an appendix on planning considerations and how to best calculate survey timings.

Table 3 Summary list of pre-survey planning tools proposed in the section

Tool or Resource	Description
<a href="#">Upcoming Survey Register</a>	Register the survey to encourage collaboration and contribute to national coverage
<a href="#">AusSeabed Bathymetry Holdings</a>	Coverage of MBES dataset by various agencies.
<a href="#">Seabed Survey Data Model</a>	The SSDM is a GIS model that has been developed since 2010 by the International Association of Oil & Gas Producers (IOGP) to facilitate management, integration and sharing of survey data at all levels, i.e. international, national, local, etc. (IOGP, 2017).
<b>A priori tools</b>	These tools help to determine expected uncertainties for a system.
1) <a href="#">Amust</a>	Amust link points to a registration page on the Rijkswaterstaat (Dutch Hydrographic Service) website. See also <a href="#">Appendix E</a> for a list of possible errors to take into account.
2) <a href="#">Hydrobib</a>	Hydrobib provides integrated utilities for survey planning. It is more specific to R2Sonic echosounder, but can be adopted for other echosounders.
<b>Datum tools</b>	
1) <a href="#">VDatum</a>	1) Designed to vertically transform geospatial data among a variety of tidal, orthometric and ellipsoidal vertical datums.
2) <a href="#">AusCoastVDT</a>	2) A vertical datum transformation tool for the Australian coast.



### Line planning tool

Most survey acquisition software packages (QPS, EIVA, HYPACK) have line planning capability built into them. See also [Hydrobib](#) above

## 2.1 National coverage consultation and upcoming survey register

AusSeabed is currently developing a suite of pre-survey tools to view the current extent of national bathymetry data holdings, consult a map of national seabed mapping priority areas, and utilise a survey coordination tool to register and query upcoming surveys. These tools are aimed at providing seabed mappers with information to promote collaboration in areas of common interest and eliminate effort duplication. This initiative is likely to benefit all parties by reducing overall costs and facilitating more efficient data collection in Australian waters.

Seamap Australia is a complementary mapping and analysis service that provides information about the Australian shelf collated from data providers (e.g. seafloor imagery, habitat classification) that may also inform proposed mapping areas (Lucieer et al., 2017).

### 2.1.1 Existing data coverage

Before planning a survey we recommend consulting the [Bathymetry coverage](#) layer hosted on the AusSeabed website to avoid the collection of duplicate data. The identify tool in this portal provides metadata information for each coverage polygon submitted to AusSeabed and a URL to the location where the high resolution data can be downloaded if it is available. This ensures that users are able to find existing data, or data custodian and contact details for surveys already conducted that might meet some or all of their needs. The layer contains the spatial extents and metadata of all surveys submitted to Geoscience Australia (GA) by AusSeabed collaborators and external third-parties. It is being continually developed to display national data coverage, with input from an increasing number of organisations.

The GA [MARS database](#) contains information on seabed sediment samples collected in Australian waters, analysed, and provided to GA. Links to other data samples collected by different entities is acknowledged as an item for future development.

### 2.1.2 National Bathymetry priorities

The AusSeabed website also hosts an interactive map of [national bathymetry priorities](#) that show areas that are considered important to government in terms of safety of life at sea, conservation, and environmental monitoring. It is recommended that this tool be consulted in the early stages of survey planning to promote collaboration amongst stakeholders with interests in specific areas.

### 2.1.3 AusSeabed Coordination Tool

It is also highly recommended that the upcoming survey layer is consulted on the AusSeabed portal in the early stages of survey planning to look for collaboration opportunities should there be other organisations planning to carry out work in areas of close proximity. Upcoming survey plans can be registered using the [AusSeabed Coordination Tool](#) to enable further collaboration and future tracking of new data. The tool allows users to display the planned extent and details of an upcoming survey and collects a set of metadata that are considered a minimum for any seabed mapping activity ([section 2.3.1.3](#)) that can be utilised for the survey report and data submission following the survey. If desired, a more detailed planning document can also be attached. The AusSeabed Coordination Tool also hosts the online forms for submissions to the Hydroscheme Industry

Investment Program, run by the AHO. To request a user log in for access to the survey coordination tool email [ausseabed@ga.gov.au](mailto:ausseabed@ga.gov.au).

## 2.2 Research and survey permits

Various permissions are required to undertake research in Commonwealth, State and Territory waters. Due to the complexity of laws and intersecting jurisdiction's, information on this page should be treated as a guide only and information from the relevant governing bodies should be consulted to ascertain that the correct permissions have been acquired prior to any research undertaking.

Operators should contact and inform relevant national and local authorities well in advance of any intended survey work ashore and afloat. These include the local harbour authority that should be consulted at all stages of the planning and execution of any harbour surveys, marine reserves, etc. Be mindful that approvals and permits (e.g. Environment Protection and Biodiversity Conservation, Environmental Plan, local marine parks permits, etc.) may be needed before undertaking a survey. Legislation for approvals is slightly different in each state. More information regarding legislation and permitting can be found on the [AusSeabed](#) website. [Appendix C](#) provides a list of authorities that may need to be consulted and some links to general research permits for state waters.

## 2.3 Seabed mapping data collection considerations

The **objectives** of MBES surveys conducted by mapping programs are to collect seafloor data to identify, delineate and map biogenic, anthropogenic and geological features. This objective requires particular data to be collected that can a) chart the water depths creating a high resolution bathymetric map at an appropriate resolution in regards to the target habitat or feature and b) be able to differentiate boundaries between different substrate and/or habitat types.

This national guideline provides the minimum requirements for all seabed mapping activities to enable national coordination and compilation. It is thus designed as an overarching document that can be complemented by more specific requirements. If data collection is for charting purposes, consult the [Australian Hydrographic Office](#) and the Hydroscheme Industry Partnership Program (HIPP) Statement of Requirements (SOR) available at [www.hydro.gov.au/NHP](http://www.hydro.gov.au/NHP).

The application of these guidelines to marine monitoring has been included as a case study in chapter 8 that outlines the mandated best practice data and metadata requirements, QA/QC and data submission practices for baseline surveying or more targeted feature monitoring. [Appendix D](#) provides some approximate planning timeframes as a guide for the various activities related to seabed mapping surveys.

### 2.3.1 Data type, formats, and metadata

In 2019, AusSeabed held a workshop on data formats and metadata attributes to establish an agreed set of preferences for the delivery and acquisition of seabed data. The outcomes of that workshop underpin the information presented in the following sections, as a set of best practice policies to maximise the utility of collected open data.

#### 2.3.1.1 Data type

The types of data derived from a MBES survey are:

- bathymetry: essential
- seabed backscatter: essential
- water column backscatter: encouraged

The minimum essential requirements of any seafloor mapping survey are the bathymetric data and seabed backscatter data (the collection of which may require manual activation). Water column backscatter data acquisition is encouraged if the system can collect it. In addition to scientific benefits (such as identifying gas flares and vegetation mapping), water column data is a common method used to confirm least depth over features and to identify bathymetric artefacts. It is both used in terms of 3D visualisation of the seabed and in observing oceanographic turbulence, such as internal waves, which may result in bathymetric artefacts (Hughes-Clarke, 2017b).

### 2.3.1.2 Data levels and file formats

Consistent definitions of data levels allow the community to reduce ambiguity when discussing, delivering, processing or describing data. The AusSeabed definitions of data levels has been modelled on those prescribed by NASA for Earth Observations data products (Table 6).

Table 4 AusSeabed Data Level Definitions

Level	Definition	Examples			
		MBES	Navigation and attitude	Ancillary files	
				SVP	Tide
L0	<b>Unprocessed instrument data</b> Unprocessed/raw instrument data at full resolution as received from the sensor. Includes MBES and ancillary files as well as all artefacts.	Observed by sensor	Observed by sensor POSMV	Observed by sensor	Observed by sensor, proprietary formats
L1	<b>Data merged with ancillary information</b> Reconstructed L0 data undergoes correction with ancillary information, either from within the L0 data itself or separately collected ancillary files (e.g., delayed heave and svp). This level may include radiometric and geometric correction and calibration, but not cleaning. This level may not exist for all data types and may depend on the software used.	Processed depth Integration with ancillary information	N/A: Data proceeds straight to L2		
L2	<b>Cleaned and/or derived variables</b> L1 data undergoes cleaning and filtering to create the first 'usable' data.	Bathymetry product Cleaned & filtered	Processed to SBET	Processed to *.txt	Processed to *.txt
L3	<b>Variables mapped on a grid</b> L2 data undergoes additional processing/value-adding to create L3 products. Variables mapped on uniform grid scales, with some consistency to produce derived products. L3 products cannot be backwards engineered into L2.	Additional value added, or data sampled (e.g. grid, DEM)	For the majority of commercial software available, backscatter data is progressed automatically through the L1 and L2 stages and saved directly as an L3 final product. Note: L2 is the final 'product' for ancillary data types.		

A set of data formats has been recommended for each of the data levels and types described above based on community consultation (Table 5). Data submission to AusSeabed requires that the required data follow the formats and specifications outlined in this table. For submission of data it is required that all Priority 1 formats that are possible to be provided are provided. If this is not possible then the next highest priority format should be provided. AusSeabed strongly supports open source technology and formats, therefore open formats (when available) are the preferred option over proprietary formats, for any sensor and at any data level. For L3 Bathymetry data provided to the AusSeabed Data Hub it is required that both Priority 1 BAG formats and the 32-Bit Floating Point GeoTIFF files detailed in the specifications column are provided.

Table 5 Preferred data formats by data type and level.

Level	Preferred formats				Specifications
	Bathymetry	Backscatter	Navigation	Ancillary data	
L0	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>.all, .s7k, .kmal, .xse, .mbXX equivalent mbsystem formats</li> </ul> <p><b>Priority 2</b></p> <ul style="list-style-type: none"> <li>.gsf</li> </ul> <p><b>Priority 3</b></p> <ul style="list-style-type: none"> <li>.xtf</li> </ul>	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>.all, .s7k, .kmal, .xse, .mbXX equivalent mbsystem formats</li> </ul> <p><b>Priority 2</b></p> <ul style="list-style-type: none"> <li>.gsf</li> </ul> <p><b>Priority 3</b></p> <ul style="list-style-type: none"> <li>.xtf</li> </ul>	<p><b>Priority 1*</b></p> <ul style="list-style-type: none"> <li>Any proprietary formats that contain navigation and attitude (*.000) since no open formats exist yet.</li> </ul>	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>ASCII (txt, csv)</li> </ul> <p><b>Priority 2</b></p> <ul style="list-style-type: none"> <li>Proprietary</li> </ul>	<p>Bathymetry and backscatter should contain all necessary datagrams required for processing, including raw backscatter per beam (and time series), and all required ancillary data. Water column data is recommended and if possible should be stored in a separate file.</p> <p>Navigation and Ancillaries should contain date and time (calendar or UTC, specify otherwise) and geodetic reference system (geographic WGS84 or GDA2020 with an ellipsoidal height datum).</p>
L1	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>.gsf,</li> </ul>	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>.gsf</li> </ul> <p><b>Priority 2</b></p> <ul style="list-style-type: none"> <li>Proprietary</li> </ul>	N/A	N/A	<p>Not Compulsory for data submission</p> <p>L1 should also include all raw data as required in L0 that allow for processing at any stages if required. Header information and sign convention are required to accompany ASCII point cloud.</p>
L2	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>.gsf, .las/laz</li> </ul>	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>.gsf</li> </ul>	SBET data + RMS (for generation of TPU)	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>Text files: (ASCII .txt, NetCDF, .csv)</li> </ul> <p><b>Priority 2</b></p> <ul style="list-style-type: none"> <li>Proprietary</li> </ul>	<p>When L2 data are provided, also include all L0 data to allow for reprocessing at any stages, if required. L2 should contain data that enable reproduction of L3.</p> <p><b>Bathymetry and Backscatter</b></p> <p>Variables: coordinates, depth (m, neg value) or intensity (dB), uncertainty, flag.</p>

					<p>Coordinate system: Geographic (GDA2020 or WGS84)</p> <p>Precision: Metric variables with minimum of 2 decimals; Angular variables degree decimals with minimum of 6 decimals</p> <p><b>Navigation and Ancillaries</b></p> <p>Date and time: Calendar and UTC or specify otherwise</p> <p>Coordinate system: Geographic WGS84 or GDA2020 with an ellipsoidal height datum</p>
L3	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>BAG single-resolution</li> <li>BAG multi-resolution</li> <li>32-bit floating point GeoTIFF (.tiff)</li> </ul> <p><b>Priority 2</b></p> <ul style="list-style-type: none"> <li>.las/.laz</li> </ul>	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>BAG single-resolution</li> </ul> <p><b>Priority 2</b></p> <ul style="list-style-type: none"> <li>32-bit floating point GeoTIFF (.tiff)</li> </ul>	<p><b>Priority 1</b></p> <ul style="list-style-type: none"> <li>Sensor trackline (GeoJSON)</li> </ul>	N/A	<p><b>Bathymetry and Backscatter</b></p> <p>Vertical datums: both Ellipsoid and MSL</p> <p>Resolution as per <a href="#">Table 9</a></p> <p>Variables: coordinates, depth (m, neg value) or intensity (dB), density (sounding/cell), uncertainty, flag (bathymetry in GeoTIFF format requires three separate files: depth, density, uncertainty).</p> <p>Coordinate system: Geographic GDA2020 or WGS84</p> <p>Precision: Metric variables with minimum of 2 decimals; Angular variables with deg decimals and 6 decimals</p> <p><b>Navigation and Ancillaries</b></p> <p>Date and time: Calendar and UTC or specify otherwise</p> <p>Coordinate system: Geographic WGS84 or GDA2020 with an ellipsoidal height datum</p>

### 2.3.1.3 Metadata

Metadata consistency is an essential aspect of data management and a key step in the move to coordinate a comprehensive national repository of seabed data in the Australian marine estate. The following list of metadata outlines the minimum set to meet ISO 19115.3 standards. The AusSeabed community propose that best efforts are made by collecting and processing institutions to utilise these fields. Appending organisation specific fields is acceptable but such fields should not be used in place of the fields below (Table 6). An example template with descriptions of the metadata fields to assist organisations in “mapping” metadata information is included in [appendix H.2](#).

Table 6 Overview of required metadata.

Metadata Category	General	Citation	Survey	Technical
Metadata Fields	<ul style="list-style-type: none"> <li>• Survey Title</li> <li>• Survey ID</li> <li>• Abstract</li> <li>• Lineage</li> </ul>	<ul style="list-style-type: none"> <li>• Data Owner</li> <li>• Custodian</li> <li>• Country (data ownership)</li> <li>• Collecting Entity</li> <li>• Attribution Licence</li> <li>• Legal Constraints</li> <li>• Access Constraints</li> <li>• Use Constraints</li> </ul>	<ul style="list-style-type: none"> <li>• Survey Area (general)</li> <li>• Bounding Box</li> <li>• Coordinate reference system-bounding Box</li> <li>• Coordinate reference system–Survey Data</li> <li>• Geodetic Datum of the survey</li> <li>• Horizontal datum</li> <li>• Vertical datum</li> </ul>	<ul style="list-style-type: none"> <li>• Instrument type</li> <li>• Sensor type</li> <li>• Sensor Frequency</li> <li>• Platform type</li> <li>• Platform name</li> </ul>

This set of metadata is not exhaustive, and a large number of specific survey, calibration and acquisition parameters need to be recorded in addition to the above information to ensure complete documentation of the survey process. These are categorised and detailed in the [section 6.1](#) which outlines the Mobilisation, Calibration and Validation reports.

### 2.3.2 Survey area characterization

Operational requirements, gear availability and technical capacity will determine the most appropriate type of MBES system to use. The characteristics of the survey area and mapping requirements are also key issues to consider, including:

- survey duration and size of the area
- anticipated depth range as this will affect line planning ([section 2.5.5](#)) and acquisition parameter settings ([section 4.3](#))
- wind and wave conditions and seasonal weather changes
- tidal regime and tidal infrastructure
- feature detection and sounding density requirements; reflected in required pulse repetition (ping rates), swath width and survey speed
- the nature of the seabed, which is important for seabed backscatter data acquisition ([section 4.3.2](#)). If one of the objectives of the mapping is to understand the nature of the seabed and to predict it over the area of interest, seabed sediment sampling/imaging needs to be considered ([section 2.5.6](#)). See also the [NESP field manuals](#) for standard operating procedures on sediment sampling and underwater imagery.

- water column anomalies and feature anomalies, which may benefit from recording seabed water column backscatter (section 4.3.2)
- the time of year and relevance to whale migrations for low frequency instruments
- potential interactions with surface fishing gear

### 2.3.3 Data representation (seafloor coverage and resolution)

Data representation, with respect to seafloor coverage, depends primarily on the MBES system utilised. For MBES systems, data representation will be dependent on the beam width of the system and the associated footprint on the seafloor (Table 4). It is important to consider that the data representation of the final output has to be greater or equal to the beam footprint. For bathymetric sidescan, however, the sounding interval on the seafloor is constant.

Horizontal and vertical accuracy are two key factors of resolution that should also be taken into consideration when choosing the right equipment or designing a survey plan (sections 3.3 and 3.4). These can be assessed by listing all sources of error and calculate interactively the total propagated uncertainties of a sounding (TPU; section 5.2). The Total Vertical Uncertainty (TVU) must not exceed the depth accuracy, and total horizontal accuracy (THU) actually refers to the accuracy of the position of sounding on the seafloor and not the accuracy of the GPS [GNSS] position of the survey vessel alone. Survey speed can also affect the data representation and accuracy (Hughes-Clarke, 2017b).

If data representation is not the primary driver in the choice of the system to use, it is recommended that data be collected at the best resolution achievable by the system.

Table 7 MBES footprint (m) at nadir and beam width (deg). The beam footprint for a MBES increases in the outer beams.

		Beam Width (deg)					
		0.5	0.7	1	2	3	4
DEPTH (m)	10	0.09	0.12	0.17	0.35	0.52	0.70
	25	0.22	0.31	0.44	0.87	1.31	1.74
	50	0.44	0.61	0.87	1.74	2.62	3.49
	75	0.65	0.92	1.31	2.62	3.92	5.23
	100	0.87	1.22	1.75	3.49	5.23	6.97
	250	2.18	3.05	4.36	8.72	13.08	
	500	4.36	6.11	8.73	17.45		
	1000	8.73	12.22	17.45			
	1500	13.09	18.33				
	2500	21.82					

It is important to highlight that identification of features of specific sizes rely on a combination of parameters. It is generally accepted that when using side scan sonar as the feature detection tool, that a minimum of five

boresight hits are made on the feature target. When using MBES as the feature detection tool, the common requirement is to achieve a minimum 3 along track hits and 3 across track hits on the feature target. The above requirements are to be considered conservative and in line with accepted sampling theory. Refer to section 7.5 from AHO (2018) for further information.

The general formula to calculate the depth at which five pulses should ensonify a target of a given size at different speed is (GBHD, 1996):

$$D = \frac{\left( Sx \left( \frac{1852}{3600} \right) x \left( \frac{5}{prr} \right) \right) - t}{2 \tan\left(\frac{\phi}{2}\right)}$$

Where:

D = least depth of detection (metres below transducers)

S = speed in knots

t = along track dimension of target to be detected (metres)

φ = echo sounder's beam width (fore and aft) in degrees.

prr = pulse repetition rate (pulses per second (Hz))

### 2.3.4 Quality assessment / uncertainty scheme

The International Hydrographic Organisation (IHO) publishes a document for hydrographic standards – IHO Special Publication (SP-44). [Appendix G](#) of this publication details a range of survey standards for varying purposes. By surveying and providing data to these minimum standards, a collaborative approach to providing safe maritime navigation in future surveying areas can be assured in areas where there may be a future need to conduct operations.

However, these standards may not fit the purpose of the survey or be flexible enough ([Figure 1](#)). Therefore, it is recommended that each parameter be evaluated separately when planning a survey. Consideration should be given to other user specifications or requirements, such as Port Authorities and Marine Parks, as these could also be met with little additional time, effort or cost (e.g. PPA, 2017, Lucieer et al., 2018). The data would then benefit more users and contribute to the National Seabed Mapping effort.

Regardless of the standards used, it is important to provide quality and uncertainty statements based upon calibration and validation evidence to ensure consistency. These should be quantitative statements where numerical analysis is conducted e.g. TVU = +/-0.1m, THU = +/-1.0m.

### 2.3.5 Platforms & systems

Seabed mapping can be conducted from a variety of platforms, including ships, which can have hull or pole-mounted systems, towed-platform or automated underwater and remotely operated vehicles (AUV and ROV respectively). While this guideline provides information that applies to any platform, this section only provides general information on the various platforms and does not address the specific requirements of each. Refer to the material referenced for more information.

### 2.3.5.1 Hull or pole-mounted systems

A hull-mounted system refers to a system fixed to the vessel, and is the most robust way to mount a transducer. However, due consideration must be given to the effects of acoustic interference and bubble sweep down over the face of the MBES transmit and receive arrays.

A pole-mounted system refers to a system fixed to the end of a pole, which is commonly mounted to the side or the bow of the vessel. They are commonly used for smaller installations, allowing for permanent or deployable mounting. Rigidity and minimisation of the vibration of the pole are key to acquiring good quality data. It is also recommended that where possible, the motion reference unit (MRU) be installed and ‘tightly coupled’ on the pole at the transducer.

For deployable pole-mounted systems, it is important to consider that every time the system is deployed, there should be assurance that the system returns to exactly the same position in order to negate the requirement for another patch test. An operating check, which is less robust than a patch test but verifies the mount is returned to the correct position, should be conducted if the pole is reset. This may be as simple as performing cross perpendicular lines over a significant feature and analysing for incorrect alignments.

Regardless of which method is used to deploy the swath system, it is important to understand the negative impact of vessel hull, machinery noise and bubble sweep-down on the system. Care should be taken to install the transducers as far away from acoustic noise sources as possible and to ensure a smooth flow of water over the sonar(s) when the vessel is underway at the planned survey speed. Clients should be made aware that it is rarely possible to guarantee an acoustically silent installation on any vessel being used for the first time. Unfortunately, it is often a case of undertaking the installation and subsequently testing, before the suitability of the vessel and installation can be known.

This [website](#) provides additional information on various possible mounts and considerations. Note that the working group is not endorsing the company that this information is taken from.

### 2.3.6 Dimension control of sensor offsets

Dimensional control, otherwise known as a sensor offset survey, is essential to any seafloor mapping survey and needs to be reported (see [section 3.2](#)).

## 2.4 Project team

The project team should include personnel with relevant and adequate experience in swath acoustic instrumentation and survey requirements. These may consist of qualified people from various backgrounds, such as geophysicists, geologists, engineers, and hydrographic surveyors, but also increasingly includes marine ecologists and spatial analysts that manage seafloor mapping programs.

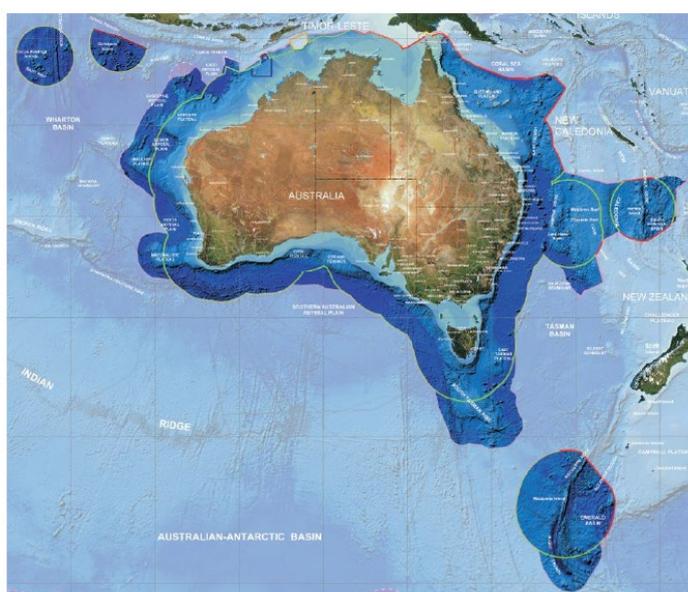
It is recommended that for all survey reports each team member should be identified. This provides traceability for decisions and the data acquired. It is also highly recommended that a member of the team has completed professional training in the principles and operation of swath systems and provides evidence of recent field experience with swath acoustic systems.

## 2.5 Field survey instructions

### 2.5.1 Geodetic control and horizontal datum

Seabed mapping surveys conducted within the Australian EEZ shall be referenced to a geodetic reference frame based on the International Terrestrial Reference System (ITRS), e.g. ITRF 2014 (GRS80 Spheroid) during collection.

Data should be processed on the Geocentric Datum of Australia 2020 ([Figure 2](#); [GDA2020](#)) which is being implemented to modernise the geodetic positioning, based on 1994 models (ICSM, 2018). Stage 1 of GDA2020 will be fixed to the epoch 2020.0 and Stage 2 (anticipated in 2020) will transition to a time dependent reference frame and will be known as the Australian Terrestrial Reference Frame (ATRF). Specific information regarding GDA2020 can also be found on [GA's website](#).



*Figure 2 Extent of GDA2020 on the Australian continental shelf (Geoscience Australia)*

Proposed Horizontal control should be reviewed for accuracy and if local control such as RTK base stations are to be used, then sites for local positioning systems should be determined. To establish shore-based geodetic control, refer to the procedures described in Intergovernmental Committee on Surveying and Mapping (ICSM, 2014a-c).

Grid positions shall be referenced to the Universal Transverse Mercator (UTM) Grid.

### 2.5.2 Tidal or ellipsoidal datum

The datum to which depths are to be reduced is fundamental to any seafloor mapping survey. Many datums can be used ([Figure 3](#)), but the common datums are the ellipsoidal or tidal chart datums (sections [2.5.2.1](#) and [2.5.2.2](#)). While mapping however, the sounding datum should be used.

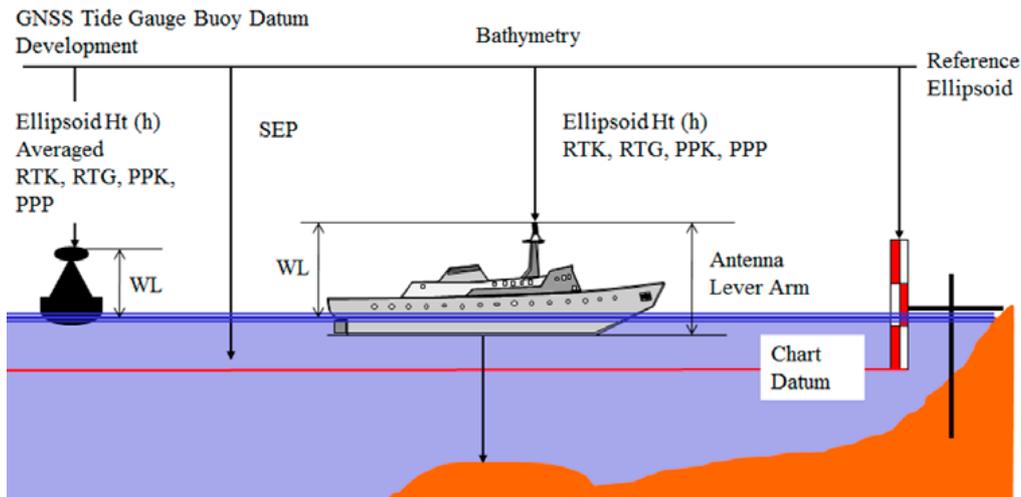


Figure 3 Schematic of datum and associated reduction information (Mills & Dodd, 2014)

Regardless of the datum used for the final products, the following points need to be considered:

- direct tide from the GNSS (GPS tide) should be recorded
- all data should be acquired and processed in WGS84 or ITRF if available
- all raw GNSS observations should be kept to allow post-processing
- all efforts should be made to improve positions to the highest accuracy possible, and post-processing will usually also improve horizontal positioning and minimise heave artefacts.

Typically, post-processing would involve:

- offshore: Precise Point Positioning (PPP) corrections using the final International GNSS Service (IGS) products
- coastal regions: kinematic post processing against land based fixed GNSS base stations, either permanent or deployed.

Transformation to the required 'publication datum' can be made after this process but retains the benefits of being connected to the global datum. These transformations can be done using [AusCoastVDT](#), which is a free software tool with a blanket accuracy of  $\pm 0.5$  m for MSL to LAT reductions. AusCoastVDT was developed by the Intergovernmental Committee on Surveying and Mapping, a collaboration between the Australian states, Defence Force and New Zealand.

### 2.5.2.1 Ellipsoidal datum

With the advancement of modern GNSS positioning systems and post-processing methods, ellipsoidal datum connections can be employed as an alternative to the Lowest Astronomical Tide (LAT) or chart datum (CD) connections. The GRS80 ellipsoid vertical reference surface has benefits to scientific and environmental disciplines with a consistent surface separation of seafloor features globally, please confirm that this ellipsoid is being used by your geodetic coordinate system.

When used in conjunction with GNSS connected/levelled tide gauge data, connections to CD/LAT can be estimated where required. For details on the issues of this method see "Ellipsoidally Referenced Surveying for Hydrography" (FIG, 2014).

### 2.5.2.2 Tidal Datum

When surveying for the purposes of nautical charting, it is essential to have knowledge of local tides. In many areas around Australia, the tidal network infrastructure is sparse and additional temporary tidal infrastructure will be required. To acquire 'observed tide' from a tide gauge, a number of tide gauges will need to be installed depending on the tidal complexity of the environment, albeit it is desirable to have at least one gauge installed.

For specific advice regarding recommended tidal infrastructure for your survey area, please contact the Australian Hydrographic Office ([tides.support@defence.gov.au](mailto:tides.support@defence.gov.au)).

### 2.5.3 Sound velocity profiling

Sound Velocity Profiling (SVP) of the water column is essential to the acquisition of swath mapping data, and is used for ray tracing through the water column. SVP influences directly the accuracy and uncertainty of both the horizontal and vertical position of each sounding and its impact is greatest towards the outer beams of the swath (farthest sounding).

Physical processes such as fresh water influx, solar warming of the upper water column, presence of mesoscale currents, and storm mixing can affect the temperature and salinity profile, and hence the SVP. These changes can occur at various spatial and temporal scales and can sometimes be observed in the water column backscatter data.

Acquisition of SVPs must be planned to identify the relevant number and distribution of profiles, and monitored carefully during the survey. It is recommended to commence a survey area with frequent SVPs until the behaviour of the water column is understood and then reduce the time and spatial interval as required to maintain best quality depth data. It is recommended that SVPs are conducted with a minimum interval of 6 hours. If sounding is restricted to the daytime only then SVPs should be conducted at the beginning and end of the day as the absolute minimum, but this is not recommended. The SVP can be determined using one of the following methods:

1. direct observation via deployment of a SVP measuring device
2. calculation of the SVP through deployment of an expendable bathy thermograph (XBT)
3. bar check
4. calculation of the SVP using CTD (Conductivity/Temperature/Depth) data and applying the [UNESCO formula](#)

### 2.5.4 Time and date

All digital data, field notebooks (logs) and samples should be set and recorded using the Coordinated Universal Time (UTC) and associated date.

For descriptive text used in reporting, the time zone should be clearly specified (AWST, ACST, and AEST).

### 2.5.5 Line planning

Survey line planning will vary based on the seafloor mapping objectives. However, the following minimum recommendations have to be taken into consideration:

1. Seabed topography: lines should be designed parallel to the general direction of seabed contours as much as possible for swath systems.
2. Depth range: the depth of the survey area changes the swath width and consequently the line spacing. Large areas should be divided into similar depth ranges so that the requirement to run in-fill lines is reduced.
3. Swath width (angle): depends on what type of swath system is used for the project (e.g. dual versus single-head MBES system), and hence the line spacing will differ. It is nearly always necessary to operate the swath system at less than published 'maximum' swath angles in order to improve the quality of the data collected and to improve the sounding density of the data collected.
4. Overlap: for full (100%) seabed mapping coverage, a minimum of 10% overlap of the good data swath (data meeting the 95% uncertainty level) is recommended. This will enable validation by comparison of the data acquired at the edge of each swath. For partial coverage, where possible, it is recommended to use line spacing that will enable a subsequent in-fill mapping effort to complete the mapping of the area.
5. Other requirements: acquisition of other sonar data, seabed and water column backscatter data (see below), etc. may dictate a different line spacing.
6. Regular checks: where there is an object of interest on the seabed detected in the survey, additional lines should be run to better delineate the feature and overall area.
7. Crosslines: crosslines are essential quality indicators, especially for data uncertainty management, and hence it is **highly recommended** to plan multiple crosslines.

As a minimum, one cross line per "block" of data mapped should be acquired. Crossline(s) should normally be run last so that the cross line can be run perpendicularly across the whole extent of the data block collected.

8. Turn data: consists of data that is recorded during a vessel turning from one survey line to the next. While data quality may not be at its best during turns due to poor MRU stabilisation, this data nevertheless provides new information that can be useful for some users. BUT, it is strongly recommended to record turns as a **separate file** (i.e. stop recording before the turn, record the turn, and start recording new line.) so that the data can easily be removed if the artefacts outweigh the benefits of coverage.
9. Transit data: consists of data generally acquired between port and the primary survey area and is used as "discovery" data. Data from transit or passage sounding, contributes significantly to the national good by increasing knowledge of our seabed, and oceanography.

Transit data should be:

- a. logged whenever possible unless the sea conditions are deemed too bad
  - b. collected over new ground, i.e. not where previously mapped
  - c. recorded and identified as a separate file to the primary survey lines
10. File length: depending on the system used, the rate of data acquisition and data type being collected, the size of the digital file recorded will vary. To avoid data loss and facilitate data management, it is recommended that file size be managed and data collected as smaller files in preference to large continuous files (an upper limit of 500 MB is suggested).

Where **seabed backscatter data is the primary objective** of the survey, the same recommendations as above apply with the following exceptions:

11. **Incidence angles:** overlap should be as the swath coverage but limited to incidence angles between 20 and 60 degrees (Figure 4; Lamarche and Lurton, 2017). This angle requirement is needed in order to compensate for the high variability of individual backscatter intensities (Gavrilov and Parnum, 2010; Kloser, 2017).

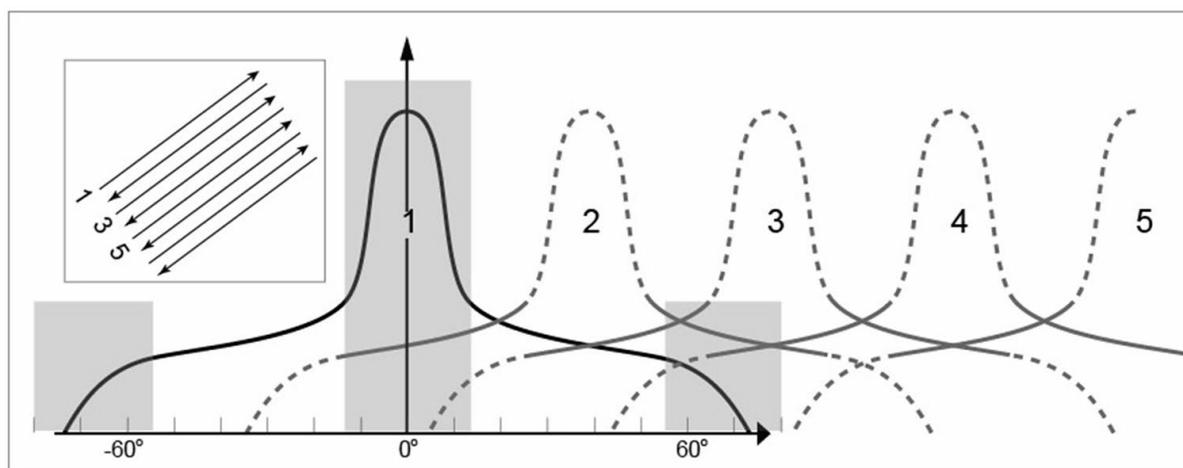


Figure 4 Diagram of ideal swath overlap (After Lamarche and Lurton, 2017).

12. **Repeated seabed backscatter survey:** For survey using the same swath system, it is recommended that the survey strategies, such as survey direction and orientations, and the system settings are kept identical. Frequency should also not be changed.

See [section 4.2](#) which provides information regarding the project structure and nomenclature

## 2.5.6 Seabed samples

Seabed samples are often acquired during a seafloor mapping survey for various purposes, including seabed characterisation and seafloor backscatter data calibration. It is thus recommended that procedures outlined in the relevant chapters of the 'Field manuals for marine sampling to monitor Australian waters' (Przeslawski and Foster 2018) are followed.

This manual recommends sending the samples to Geoscience Australia for analyses, such as grain-size, carbonate content, and results will be delivered in [MARS](#) public database. This analysis of samples contributes significantly to the knowledge of our seabed.

## 2.6 Submission of plan, data and notifications

See sections [2.1.3](#), [7](#) and [4.6](#).

## 3 Mobilisation, calibration and validation

Mobilisation refers to the process of combining multiple equipment sets (echo sounder, positioning system, motion reference unit & sound velocity instrumentation) into a single functioning high precision and accurate system. Calibration refers to the measurement and removal of systematic errors in all installed sensors. For most installations, errors mainly consist of small offsets and rotations between system components. Validation refers to testing calibrated systems against known controls by conducting multiple observations in order to provide an analysis of the repeatability, precision and accuracy of an individual or combined system.

### 3.1 Overview

Mobilisation must be done with care since compromise to any part of the integrated equipment set will increase the risk of degrading the whole system and can result in no capacity to correct or post-process the problem. Calibration and validation are vital to assess the performance of the installed system against survey specifications, particularly TVU, TPU and datum control, as elaborated throughout this section.

The mobilisation, calibration and validation process will vary between vessels. For example, a ‘vessel of opportunity’ commonly involves significantly more planning and setup time than permanently configured survey vessels. The steps below generalise the detailed processes outlined in the hardware and software manufacturer’s instructions for the deployed equipment. Specific information on some of the steps of the mobilisation, calibration and validation are included as a brief glossary in the following subsections.

#### **Generalised steps for mobilising a vessel of opportunity:**

1. During the pre-survey planning phase, attempt to source previous mobilisation reports for the planned survey vessel and equipment (even if from another vessel). This information will assist in understanding any engineering requirements or complications, thus saving downtime during mobilisation.
2. Ensure adequate resources are assigned for mobilisation of the swath acoustic system on the vessel of opportunity, which typically requires days (2-3 days), not hours.
3. Confirm the vessel reference frame to be used along with offsets and keep records and diagrams by either organising a survey of the vessel or re-use the results of a recent one. This establishes the spatial layout of equipment and sensors relative to each other. The responsible seabed mapper should conduct QC on any offset report received from a third party or conducted by the team.
4. Make equipment structures as rigid as possible to ensure stable geometry. E.g. moon-pool, and/or over the side rigid mounts should return to exactly the same location when deployed.
5. Take care with the physical installation, particularly cable runs and joins to limit electrical interference/noise, and account for vessel vibrations, vessel thoroughfares, water ingress, power-stability (pure sine wave for inverters, earthing), etc. Consider under-keel and overhead clearances. Vessel stability should be considered on smaller vessels to ensure safe manoeuvrability around equipment.
6. Minimise acoustic and vibration noise sources to acoustic sensors, IMU and electronics.

7. Check vessel sounder or engine vibration and noise over engine revolution range. Test a range of survey speeds for noise changes. Where possible check the swath systems performance at desired survey speed and sea state.
8. Check sky view of observed GNSS satellites in positioning system and minimise radio interference on GNSS antennas. Lost GNSS observations cannot be recovered.
9. Perform all manufacturer's self-tests and calibrations (positioning system, swath sonar, sound velocity instruments) to ensure validity of entire system. This includes a patch test ([section 3.5](#))
10. Record all sign conventions and calibrated geometries of installed sensors (screen captures and reports; [section 6.1](#)).
11. Backup system and parameter files on a separate location. This is also important for rolling back configurations when accidental, unknown system changes are made.
12. Preferably complete mobilisation and testing before leaving port for the survey area.
13. Check tidal observation equipment for connections to local tidal datum if required.
14. Double check all geodetic parameter settings in positioning and acquisition systems for consistency. Ensure no undesired/undocumented transformations are taking place.
15. Consider processing capability on the vessel for near real-time assessment of acquired data.
16. Confirm on-board vessel storage has enough capacity to capture all required raw data, including backup strategy.
17. Discuss planned survey lines with vessel master, survey ground sea-states, forecast weather and implication for survey plan. Communication strategies between MBES system operator and helm (including installing swath system helm display).
18. Describe the equipment and actions undertaken on the vessel before, during and after the survey to form part of a 'mobilisation and calibration' report to be submitted along with the data ([section 6.1](#)).

## 3.2 Dimensional control

This is the process of establishing the spatial relationships of the mounted equipment locations on the vessel. This includes the physical vessel offsets ([section 3.2.1](#)) and angular rotational offsets ([section 3.2.2](#)) of the installed equipment, and the integration of them into the complete swath acoustic system. All recommended calibration and alignment procedures specified in the manufacturer's equipment manuals should be carried out. These measurements are validated and refined during the patch test process.

### 3.2.1 Physical offset survey

Establish the physical offsets of the installed equipment to permanent locations or marks on the vessel ([Figure 5](#)). This is achieved by adding equipment specific offsets to the previously carried out static (slipped) vessel system offsets survey or via surveyed measurements to the installed equipment. Preferably offsets should be known with centimetre level uncertainties, or better, to establish spatial relationships between soundings and external earth reference frames (WGS84, ITRF) via the GNSS equipment installed on the vessel.

It is important to note that the systematic errors and uncertainties associated with this control will feed directly into the overall quality of the data and will greatly increase with water depth. Acquiring accurate data ensures

the long term benefits that accompany the “collect once, use many times” mantra. For more information, refer to Hughes-Clarke (2003).

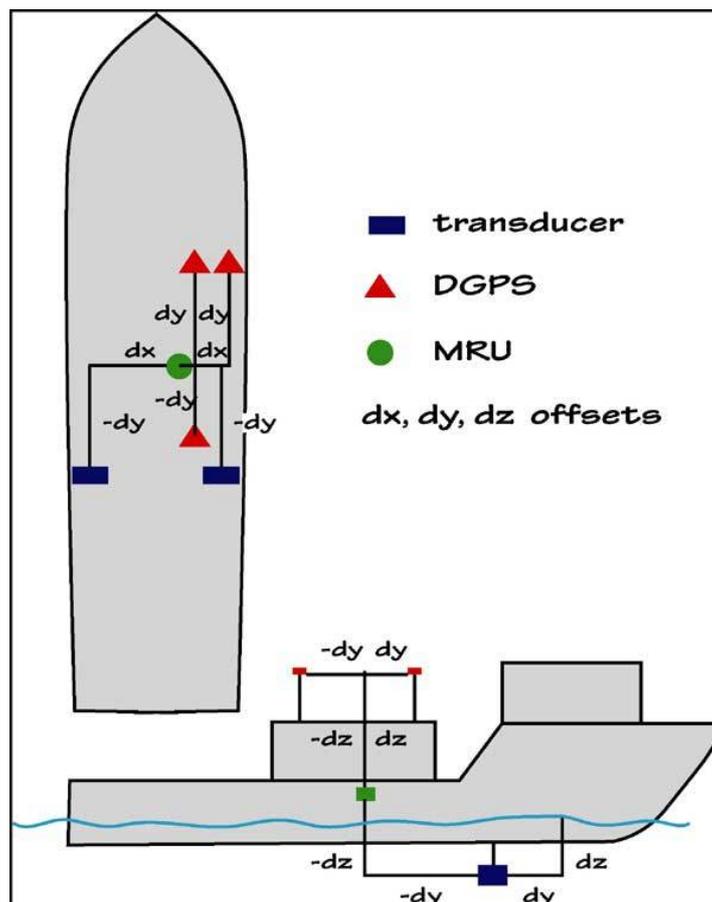


Figure 5 Diagram of dimensional control for MBES system (After Gardner et al., 2002)

### 3.2.2 Rotation offset survey

A rotation offset survey checks the alignment of individual equipment relative to the vessel's reference frame.

Establish all known rotations (angular offsets between the vessel and the reference frames of the installed equipment) for each equipment set. The offsets between rotational frame conventions (if any) of each equipment set should be accounted for as part of this process and recorded in the mobilisation, calibration and validation report (section 6.1). If equipment rotations (physical measurement) are known separately to calibrated rotations (patch test) and applied as such in the acquisition software, these details should also be included in the report.

Rotation offset survey is normally associated with permanently-installed systems.

### 3.3 Horizontal positioning

It is recommended to use a tightly coupled GNSS-Inertial system consisting of dual GNSS antennae and IMU integrated system that is tested. The GNSS-Inertial system has to be calibrated and validated prior to the commencement of the survey as this is critical to detect and correct setup errors, and estimate uncertainties. This process involves both static and dynamic validation if possible:

Static validation of GNSS positioning equipment involves verifying the performance of the system against a known reference position. This should be preferably done using land survey methods, however should a known reference point not exist near the point of mobilisation, points may be established and should be in accordance with ICSM (2014a-c).

Dynamic validation or confidence checks involves carrying out dynamic comparisons between positioning systems (where more than one system is mobilised). These dynamic calibrations should be performed regularly and whenever any component or changes to the vessel positioning systems or setup are made.

Validations may include:

- alongside checks using baseline and offset measurements to vessel datum points while logging on the acquisition system.
- check of independent positioning system mounted on vessel with known offset to transducer and on-board primary positioning system. Vessel records of all systems while conducting a box, then perform comparative analysis between logged system data and the independent positioning system. The least preferred method is to conduct this while static, but this may be the only operational option.

Setting up positioning systems to transmit data to the swath system topside at a frequency of 1 Hz is adequate for most scenarios.

## 3.4 Vertical positioning

### 3.4.1 Depth validation

Depth validation should be done once the patch test ([section 3.5](#)) has been performed. The system should be used to run a series of parallel and perpendicular sounding lines over a reference bottom surface where the depths have been previously determined and verified with an independent system of known accuracy.

If none of these comparative methods are available, then a “bar check” can be undertaken understanding that the results will not be as accurate as the precedent methods. The results obtained by any of the methods should compare favourably and be within the accuracy requirements of the survey.

Prior to sailing, a lead line observation may also be conducted.

### 3.4.2 Settlement and squat

Settlement occurs once the vessel is in a constant transit and is a vertical displacement which is constant at a given speed through water. Squat is a relationship between depth of water and speed through water.

All vessels are subject to settlement and squat, and measurements of these parameters should be made wherever practically possible by the most appropriate validation method. Ideally tests should be performed at various vessel speeds over a flat bottom using RTK GNSS or orthometric levelling heights at the transducer location. The heights should be measured at rest and then in increments of vessel speed with RPM noted, and then used to derive an appropriate squat/settlement table. A squat table is not necessary when using ellipsoidal reduction methods, however, should you need to revert to sounding reduction by tide, a table is best practice.

### 3.4.3 Vessel draft

Vessel draft may be difficult to measure. However, it is possible to approximate distance from arbitrary reference points to the waterline before and after a survey as this is likely to change with fuel consumption. For validation, the vessel draft should be derived using quantitative measurement methods as for [section 3.4.2](#) (Settlement and squat).

### 3.4.4 Sound velocity

To ensure proper calibrated sound velocity reading, at least one probe (SVS or SVP) needs to be independently calibrated. Use a comparative method to validate other sensors (SVS at head and SVP). Assess speed of sound at the swath sonar head against SVP at same depth below surface. Where possible, compare SVP readings with external sensors (e.g. derived sound velocity from CTD).

### 3.4.5 Tidal station

For shallow inshore work (<30m), water level tidal observations, including local environmental effects, should be conducted for a minimum period of 35 days. If this is not possible, predictions based on tidal constituents may be used and in this instance tidal stations should be installed and calibrated as directed by ICSM (2004).

## 3.5 Patch test

The patch test confirms timing and alignment of the MBES sensor, vessel and IMU reference frames. It is essential to execute the standard patch test method as appropriate for sensor type (single or dual- head) and vessel ([Appendix F](#)). A patch test should be conducted at the beginning of the field season or whenever a piece of equipment is replaced or repaired and has to be undertaken once the calibration for the GNSS inertial system is complete ([section 3.3](#)). The results of the patch test should be reported in the Mobilisation and Calibration Report ([Appendix H](#)).

## 3.6 Seafloor backscatter calibration

Lamarche and Lurton (2017) provide a comprehensive review of seafloor backscatter from data acquisition to processing. Calibrated seafloor backscatter is essential to enable comparison of data acquired by various systems. There are two types of calibrated backscatter: absolute and relative backscatter.

Calibration is executed through the use of reference areas of known seabed types (preferably flat, smooth, and geologically and acoustically homogeneous areas). Use roll lines of the patch test (no need to rerun for backscatter) and list overlap (for backscatter quality survey). For systems with multiple transmitting sectors it is recommended that the average backscatter level be consistent across all sectors and for different modes.

It is also recommended that sediment samples and/or imagery samples be taken from the area to ground truth and calibrate backscatter data. As part of a sea-acceptance test practice, an overall calibration must be performed once the sonar system has been installed on the vessel. This involves both the customer's technical team and operators.

### 3.7 Water column backscatter calibration

Calibration of water column data is desirable into the future and is best acquired if available on system. The same procedure for seabed backscatter calibration should be applicable for the water column backscatter calibration. While it is not practical to use the sphere calibration technique, inter-calibration with a calibrated fisheries single-beam echo sounder through the use of reference areas (Demer et al. 2015; Foote et al. 1987) may be employed. This at least provides assurance of self-consistency.

### 3.8 Built-in systems test

Built-in tests, such as built-in systems test (BIST) or built-in test environment (BITE) are a test of sonar head communication with software controllers and are useful for the validation of communication between systems. They become integral when troubleshooting and should be logged. It is recommended that, at a minimum, a BIST be done at the start and end of the mapping. The results should be reported in a Mobilisation and Calibration Report ([section 6.1](#)).

### 3.9 Final acceptance test

A final check should be performed to ensure that all the equipment is working properly and that the logging systems are operating correctly. Care should be taken to ensure depth, position and if necessary water level values are being logged correctly. The positioning system should be checked for operation and periodically throughout the survey.

# 4 Acquisition

## 4.1 Survey plan

Acquisition of the MBES data should follow the pre-survey plan discussed in [section 2](#), unless the on-board seabed mapping lead decides otherwise based on the environmental situation and new information at-hand, which are difficult to account for in the planning stage. It is recommended that any changes to the acquisition plan are captured in the Report of Survey ([section 6.2.2](#)). Wherever possible, nearing the conclusion of data acquisition, a review of data coverage is highly recommended and infill lines conducted to ensure there are no gaps in the bathymetry, as this impacts the suitability of the data for end use. Additional lines over significant shoal features are also recommended to ensure good density of soundings and determination of least depth. For efficiency, such lines may be conducted concurrently to other activities such as during transits or seabed sampling. Emphasis here is put on the system settings and other specifics that were not recommended in [section 2](#), especially [section 2.5](#).

## 4.2 Project structure and nomenclature

Although the project structure and nomenclature is specific to the project, it is recommended that the following conventions be considered to facilitate data submission and interoperability:

- project structure:
  - a. reports
  - b. tides
  - c. QA DataPack
  - d. products
  - e. raw data ([see 2.3.1](#))
  - f. processed data
  - g. backscatter
  - h. WC data
- file naming convention should be sequential, include timestamp and system type, e.g. nnnn\_yyyymmdd\_hhmmss\_system, where: nnnn is the sequential number; yyyymmdd is the date; hhmmss is the time

## 4.3 Systems settings

System settings should depend on the purpose of the seafloor mapping and the data types that are being recorded.

### 4.3.1 Bathymetry

While acquiring data, the power, pulse width and gain need to be monitored and adjusted during the course of the survey to ensure good bathymetry. For high resolution/high frequency operations a short pulse width is desirable. As water depth increases, longer pulse widths will become necessary.

### 4.3.2 Backscatter

If the MBES system is capable, it is required that you ensure backscatter data (both the Beam Average Backscatter and the Time Series “Snippets” Backscatter data) are being logged and stored with the bathymetry data files. It is imperative that the Range (R), intensity (I), angle ( $\Theta$ ) information are all recorded. Collecting these data may require custom settings to be applied during the initial set up of the acquisition software.

When acquiring data, it is essential that a log is kept of all settings and changes made to settings during acquisition ([section 6.2.1](#)). Do NOT run the MBES system on auto mode as this will make it very difficult to normalise the backscatter data due to the dynamic changes in the pulse length. If possible:

- avoid changes to the pulse length and pulse type or keep to a minimum
- collect in equidistant mode
- stop logging at the end of the line and apply new settings before starting to next line if changes are made (capturing changes in the log accordingly)
- minimise constant saturation of the seabed backscatter signal.

At the end of a survey, an **additional backscatter calibration test is essential** if you have used pulse lengths that differ from your original patch test and backscatter calibration. This calibration test is made up by running the same line once for each pulse length that was used during the survey. It is important that enough space is given for the turn so that the line can be intersected straight on because the calibration requires the lines to directly overlap for at least 500 m. Please record which pulse length coincides with which line number for each calibration run.

### 4.3.3 Transit data

It is recommended that the system settings during transit data acquisition be set to maximise data quality by considering the overall characteristics of the transit rather than maximise data coverage or swath width. Refer also to [section 2.5.5](#).

Unless a deep water CTD or XBT cast is available throughout the transit and when water depth is greater than 200m, a generic SVP tool, such as the [Hydrooffice Sound Speed Manager](#) tool can be used to improve profiles. Should no SVP option be available, the sound velocity should be set to 1500m/sec.

## 4.4 Ancillary systems

### 4.4.1 Sound velocity profile

It is recommended that:

- for shelf waters (< 200m water depth), at least one SVP be conducted every 24 hours. However, every 6 hours would better align with Bureau of Meteorology (BOM) weather reporting requirements

- for “off the shelf” surveys (> 200 m), SVP may not be necessary daily, but monitoring of the SVP is still recommended as per point below.
- SV be constantly monitored and SVP be collected if visual changes are observed in the acquired swath (e.g. frown or smiles), or the SV vessel probe indicate greater changes than 2 m/s at the sonar head for a consistent period of time.

Note that SVP for all depths are also highly valued by other types of users, such as oceanographers and ecologists. To further accommodate such users it is recommended that SVPs are also collected during deployment and retrieval of deep-tow systems, ROVs and AUV.

#### 4.4.2 Tides

During a survey, acquisition of GNSS tide (ellipsoidal height of the vessel minus the geoid model at the same location) can be monitored; however, it is difficult to monitor tide gauges unless regular download of the data is undertaken. Therefore, for GNSS tides acquisition, it is recommended to:

- ensure that all the bathymetry files include GNSS height, otherwise GNSS tides will be computed to less than 10 cm vertical accuracy.
- use an updated Geoid model (e.g. AUSGeoid2020) keeping in mind that this model is unsuitable offshore.
- acquire the delayed heave from the MRU without gaps and ensure that the bathymetry data has a complete delayed heave coverage applied.
- compute GNSS tide for all the files.

During the survey, data QC should be done using predicted tides from the [Bureau of Meteorology](#) (BOM) for standard ports or [AusTides for secondary ports](#). Refer also to [section 2.5.2](#).

### 4.5 Monitoring, QA/QC & data backup

During a survey the following information should be constantly monitored, including:

- depth
- vessel draft
- GNSS (see [section 4.5.1](#)) or subsea positioning for sub-sea platform
- motion sensor
- sound velocity
- backscatter consistency and saturation
- overlap
- data density

To ensure safe data transport it is recommended that multiple copies of the data be made and transported separately in the time between data collection and submission ([section 7](#)).

## 4.5.1 GNSS positioning

Most seafloor mapping and GNSS software provide real-time monitoring capabilities. The quality of the GNSS data should be monitored while mapping to ensure that the horizontal positioning falls within the seafloor mapping specification. Any deviations outside of the survey specification should be noted and included within the Report of Survey ([section 6.2](#)). Maintaining a minimum QC requirement will provide data that is interoperable with many providers and uses. This integrity information includes (LINZ, 2016):

- sigma values or semi-major axis of the positional error ellipse are not to exceed 3.5m at the 95% confidence level
- the DGNS correction age is not to exceed 10 secs
- PDOP is not to exceed 6 for recording and continued sounding. If PDOP is greater than 7 then surveying is to be halted until it improves.
- the minimum number of observable healthy satellites being tracked during survey operations is to be 5
- the minimum elevation for SVs is to be 10° above the horizon.

## 4.6 Mandatory notifications

### 4.6.1 Dangers found – hydrographic notes

It is **imperative** that any feature found, which may be a potential navigational hazard to vessels, **is reported to the Australian Hydrographic Office ([datacentre@hydro.gov.au](mailto:datacentre@hydro.gov.au))** by hydrographic note ([AHO, AH102](#)) and if an immediate danger exists, **the Joint Rescue Coordination Centre (JRCC) Australia (AMSA)**. Once danger is reported and received by these agencies, the agencies noted assume responsibility for further reporting to mariners. Should reports not be lodged and an incident occurs, liability may be passed on to operators who failed to notify dangers during operational activities.

### 4.6.2 Underwater cultural heritage notification

Thousands of historic ship and plane wrecks are known to exist within Australian waters, although the locations of many of these remain unknown. Information about known shipwrecks can be found using the [Australian National Shipwreck Database](#). Notifying relevant State and Commonwealth management agencies, when underwater cultural heritage sites are discovered, will greatly assist these organisations to manage fragile and irreplaceable resources ([Table 8](#)). Notification of underwater cultural heritage finds is also a legal requirement under the [Historic Shipwrecks Act 1976](#) (Cth) (HSA) and state heritage protection legislation (see section 17 (1) of the Act).

A notification report should include a snapshot of the scan image, coordinates, water depth and a brief description of the site giving dimensions of the object. It is requested that the Australian Hydrographic Office ([datacentre@hydro.gov.au](mailto:datacentre@hydro.gov.au)) is included as an information addressee on all notification reports to the relevant authorities.

Table 8 Contact details of management agencies to notify for wrecks

Commonwealth		
<p>Marine Information Services Australian Hydrographic Office 8 Station Street WOLLONGONG NSW 2500 Tel: (02) 4223 6500 Email: <a href="mailto:hydro.mail@defence.gov.au">hydro.mail@defence.gov.au</a> (for any Information Requests relating to charted features) Email: <a href="mailto:datacentre@hydro.gov.au">datacentre@hydro.gov.au</a> (request cc on all Notification Reports) Website: <a href="http://www.hydro.gov.au">www.hydro.gov.au</a></p>	<p>Historic Heritage Section Department of Agriculture, Water and Environment GPO Box 787 CANBERRA ACT 2601 Tel: (02) 6274 2116 Website: <a href="http://www.environment.gov.au/heritage/historic-shipwrecks">www.environment.gov.au/heritage/historic-shipwrecks</a></p>	<p>Additionally (if in the Coral Sea Marine Park): Great Barrier Reef Marine Park Authority Heritage, International and Governance Project Manager, Maritime Cultural Heritage GPO Box 1379 TOWNSVILLE QLD Tel: (07) 4750 0618 Email: <a href="mailto:info@gbmpa.gov.au">info@gbmpa.gov.au</a> Website: <a href="http://www.gbmpa.gov.au/">www.gbmpa.gov.au/</a></p>
State		
<p><b>Queensland:</b> Heritage Branch Department of Environment and Heritage Protection GPO Box 2454 BRISBANE QLD 4001 Tel: 13 74 68 Email: <a href="mailto:info@ehp.qld.gov.au">info@ehp.qld.gov.au</a> Website: <a href="http://www.qld.gov.au/environment/land/heritage/archaeology/maritime/">www.qld.gov.au/environment/land/heritage/archaeology/maritime/</a></p>	<p><b>Northern Territory:</b> Heritage Branch Department of Lands, Planning and the Environment GPO Box 1680 DARWIN NT 0801 Tel: (08) 8999 5039 Email: <a href="mailto:heritage@nt.gov.au">heritage@nt.gov.au</a> Website: <a href="http://www.dlp.nt.gov.au/heritage/maritime-heritage">www.dlp.nt.gov.au/heritage/maritime-heritage</a></p>	
<p><b>New South Wales:</b> Heritage NSW Community Engagement Group NSW Department of Premier and Cabinet Locked Bag 5020 PARRAMATTA NSW 2124 Tel: (02) 9873 8500 Email: <a href="mailto:heritagemailbox@environment.nsw.gov.au">heritagemailbox@environment.nsw.gov.au</a> Website: <a href="https://www.heritage.nsw.gov.au/about-our-heritage/maritime/">https://www.heritage.nsw.gov.au/about-our-heritage/maritime/</a></p>	<p><b>South Australia:</b> State Heritage Unit Department for Environment, Water and Natural Resources GPO Box 1047 ADELAIDE SA 5001 Tel: (08) 8124 4960 Email: <a href="mailto:DEWNRheritage@sa.gov.au">DEWNRheritage@sa.gov.au</a> Website: <a href="http://www.environment.sa.gov.au/our-places/cultural-heritage/Maritime_heritage">www.environment.sa.gov.au/our-places/cultural-heritage/Maritime_heritage</a></p>	
<p><b>Norfolk Island:</b> Norfolk Island Museum Kingston NORFOLK ISLAND 2899 Tel: (0011) 672 323 788 Email: <a href="mailto:admin@museums.gov.nf">admin@museums.gov.nf</a> Website: <a href="http://norfolkislandmuseum.com.au/exhibitions/hms-sirius/">http://norfolkislandmuseum.com.au/exhibitions/hms-sirius/</a></p>	<p><b>Tasmania:</b> Historic Heritage Parks and Wildlife Service GPO Box 1751 HOBART TAS 7001 Tel: 1300 827 727 Email: <a href="mailto:mike.nash@parks.tas.gov.au">mike.nash@parks.tas.gov.au</a> Website: <a href="http://www.parks.tas.gov.au/index.aspx?base=1729">www.parks.tas.gov.au/index.aspx?base=1729</a></p>	
<p><b>Western Australia:</b> Western Australian Museum Maritime Archaeology Department 45-47 Cliff Street FREMANTLE WA 6160 Tel: (01) 300 134 081 Email: <a href="mailto:reception@museum.wa.gov.au">reception@museum.wa.gov.au</a> Website: <a href="http://museum.wa.gov.au/research/research-areas/maritime-archaeology">http://museum.wa.gov.au/research/research-areas/maritime-archaeology</a></p>	<p><b>Victoria:</b> Heritage Victoria Department of Planning and Community Development GPO Box 2392 MELBOURNE VIC 3001 Tel: (03) 9938 6894 Email: <a href="mailto:heritage.victoria@delwp.vic.gov.au">heritage.victoria@delwp.vic.gov.au</a> Website: <a href="http://www.dtpli.vic.gov.au/heritage/shipwrecks-and-maritime">www.dtpli.vic.gov.au/heritage/shipwrecks-and-maritime</a></p>	

# 5 Data processing

## 5.1 Data processing considerations

### 5.1.1 During survey

Processing during a survey should at a minimum be done to QC the data, both bathymetry and backscatter data. QC includes:

- checking for artefacts
- consistency of seabed backscatter
- meeting the required specifications, e.g. data density

A processing log should be kept and is required to be submitted alongside the survey reports ([section 6](#)).

### 5.1.2 Post-survey

Post-survey processing should include:

- reduction of soundings to appropriate vertical datum (observed or post-processed GNSS tides).
- application of SVPs and refraction correction applied (where allowed).
- data cleaning, which may vary depending on the purpose of the survey (see 5.1.2.1)
- elimination of surface artefacts, e.g. resulting from calibration errors.
- removal of random errors (ambient noise) using filters/CUBE or manual techniques
- data QA using crosslines (if collected). If specific crosslines are not collected, consider using transit lines that cross main survey lines (e.g. data acquired while going to a sampling location).
- TPU calculation for each sounding ([section 5.2](#)).
- surface (grid) creation as per 5.1.2.1 if submitting to AusSeabed Data Hub
- all interventions should be noted in a processing report, including parameters or techniques used.

See also section 10 of AHO, 2018 for more information on processing.

#### 5.1.2.1 AusSeabed data cleaning and creation of surfaces (grids)

AusSeabed aims to have as few as possible manual interventions in the cleaning and processing of data to optimise delivery, and importantly, create reliably reproducible outputs with a clear provenance. As such, process automation is being adopted wherever possible.

AusSeabed has adopted a banded depth approach for creating gridded products (L3) and optimising the horizontal resolution delivered from acquired multibeam data ([table 8](#)).

Table 9 Matrix of depth range used to guide horizontal resolution of bathymetry grids. Modified from NOAA (2019)

Normal depth band			Steep slope depth band <sup>1</sup>			Res (m)	Ratio <sup>2</sup>
D <sub>s</sub> (m)	D <sub>d</sub> (m)	Range Interval (m)	D <sub>s</sub> (m)	D <sub>d</sub> (m)	Range Interval (m)		
0	20	20	0	20	20	0.5	0.0250
18	40	22	16	40	24	1	0.0250
36	80	44	32	80	48	2	0.0250
72	160	88	64	160	96	4	0.0250
144	320	176	128	320	192	8	0.0250
288	640	352	256	640	384	16	0.0250
576	1280	704	512	1280	768	32	0.0250
1152	2560	1408	1024	2560	1536	64	0.0250
2304	5120	2816	2048	5120	3072	128	0.0250
4608	12000	7392	4096	12000	7904	210	0.0175 <sup>3</sup>

<sup>1</sup>In cases of steep slopes, the overlap between grids of different resolutions may need to be increased to prevent gaps in their junction. In these cases, the coarser resolution grid should have its shoaler extent modified to prevent this coverage gap.

<sup>2</sup>Highest resolution at which the dataset can support a minimum of five soundings per node (ideally, twice the maximum standard required survey resolution for the depth of the area, i.e. 2.5 % of water depth) (NOAA, 2019).

<sup>3</sup>Based on 1° beamwidth (highest resolution that the current technology of shipborne systems can effort) because of the constraint in the minimum capture distance in CUBE to a maximum of 100.

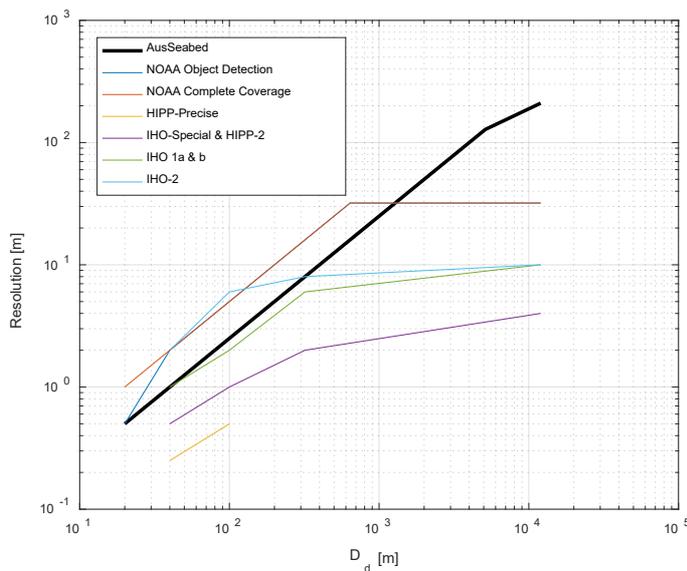


Figure 6 Horizontal resolution according to depth range for various existing standards.

### 5.1.3 Backscatter processing requirements

Please keep a processing log that records what processing software and settings are used to prepare the backscatter mosaic. When you process, it is important to specify the imagery type (Beam Average/Time Series); Beam Pattern Correction (yes/no); and Anti-aliasing (yes/no) selection.

Mandatory information to record for the backscatter data processing is:

- the AVG window size
- AVG method
- beam Pattern Correction (yes/no – if yes, please provide the beam pattern file)
- the imagery type (Beam Average/Time Series)
- gain (yes/no)
- time varying gain (yes/no)

Other image processing information that is useful but not mandatory:

- the speckle option (to remove noise)
- anti-aliasing (yes/no)

Further details about best-practice for backscatter data acquisition can be found in Lamarche and Lurton (2017).

Acquisition and processing logs should be delivered alongside all raw data (including calibration test) and processed mosaics in accordance with Section 7.

## 5.2 Total propagated uncertainties (TPU)

The total propagated uncertainty (TPU) for each sounding should be computed and included in the data submission ([Section 7](#)).

The TPU is the combination of the total horizontal uncertainties (THU) and the total vertical uncertainties (TVU) of that sounding ([Appendix E](#)). THU is a 2-dimensional quantity in the horizontal plane and is assessed only after the GNSS-Inertial system has been calibrated. TVU is a 1-dimensional quantity in the vertical dimension. TPU is not a linear addition of uncertainties in each system's component. It is a propagated combination of uncertainties for the non-linear set of equations comprising the integrated swath acoustic-GNSS Inertial system.

Uncertainty calculation is best addressed using most internationally accepted statistical models for determination of TPU, which are derived from Hare et al. (1995). Current international best-practice statistical model for resolving the system of equations is the Combined Uncertainty Bathymetric Estimator (CUBE). The average horizontal and vertical TPU estimates determined by the software for a range of water depths is provided with respect to the IHO S-44 standard for position and depth accuracy in Table 6.

*Table 10 Example Sounding Accuracy - TPU (calculated at  $1\sigma$ , but most software computes at  $2\sigma$ )*

Depth band (m)	0-5	5-20	20-50	50-100	100-200
<b>Position Accuracy (m)</b>					
IHO Standard	5.25	5.50	6.00	6.50	7.25
TPU Estimate	0.27	0.27	0.30	0.34	0.42
<b>Depth Accuracy (m)</b>					
IHO Standard	0.38	0.39	0.44	0.50	0.63
TPU Estimate	0.27	0.27	0.28	0.31	0.35

## 6 Reports

To ensure consistent documentation of all aspects of survey planning, mobilisation, calibration and acquisition, all information (reports and logs) should be recorded throughout the process. At a minimum, metadata ([section 2.3.1.3](#)), records for Mobilisation, Calibration and Validation ([section 6.1](#)), and the records proposed in [section 6.2](#) are recommended. The proposed templates for these reporting requirements can all be found in [Appendix H](#).

### 6.1 Mobilisation, calibration and validation records

Methodology and results of the mobilisation and calibration should be outlined in a mobilisation and calibration report, and the associated records. At a minimum, it is recommended to include the following information, modified from AHO (2018). Report templates meeting these requirements are included in [Appendix H](#).

#### 6.1.1 Logs

Mobilisation and calibration logs should include:

- tests survey lines, including patch test and final acceptance test
- SVP deployments: filename, time, lat, long, depth, SV sonar head reading (used for comparison)
- squat and draft tables

#### 6.1.2 Report

Mobilisation and calibration report should document the integrated survey system, methodology, raw results and processed results, i.e. once the calibration is accepted.

##### Report Heading:

- seabed mapping survey title and associated reference number
- mapped by (agency/company/etc. and Seabed mapping lead)
- dates of mapping
- mobilisation, calibration and validation report
- version
- date of the report

**Introduction:** includes an overview of the procedures conducted for the installation and calibration of equipment that comprise the seabed mapping system (SMS).

- Background and outline of events: a narrative giving an overview and timeline for the set-to-work of the survey platform(s).
- Platforms: a description of, and justification for, the survey platforms chosen to undertake the survey.

- Geodetic controls: geodetic parameters for the control survey, station diagrams and descriptions outlining the geodetic control utilised for the survey.

**Equipment:** summary of equipment that forms the SMS as installed on the survey platforms, including all relevant offsets and calibrations.

- Hardware: summary of the hardware relating to data acquisition including manufacturer, model and serial number is to be tabulated.
- Software: summary of the acquisition and processing software, including version numbers is to be tabulated.
- Sensor mounting systems: a description of the mounting system utilised for data acquisition is to be provided, e.g. pole mount, gondola, moon pool etc.
- Sensor offsets: the measurement method and results for the dimension control that determine the relationship between the measurement sensors and the platform CRP are to be provided. Sensor offsets may be annexed to the report.
- MRU heading checks.
- Built-in test results (e.g., BIST, BITE).

**Underway calibration:** outline the checks and calibrations of platform when underway. These may include:

- acoustic sensor bar checks
- draft, settlement and squat
- primary and secondary positioning
- patch test; the method undertaken, and results of the patch test for the pitch, roll and heading bias are to be calculated and rendered
- reference surface (if performed): difference statistics between manoeuvring lines and the reference surface are to include; beam number; mean, maximum and minimum differences and standard deviation
- target detection (if performed): the ability of the SMS to meet the target detection criteria of the specified order are to be demonstrated
- acoustic interference check (if performed): results of the pre-survey acoustic interference check are to be rendered

## 6.2 Records of survey

This section includes logs that should be used during acquisition of data as well as information required in the Report of Survey provided at the end of the survey. This section also points to legal notification requirements in regards to Dangers found ([section 4.6.1](#)) and Underwater Cultural Heritage ([section 4.6.2](#)). Templates of the reports and logs can be found in [Appendix H](#) for a summary of minimum requirements and in the IHO M-13 Manual on Hydrographic Surveying for a comprehensive report.

## 6.2.1 Logs

Survey logs should include:

- relevant information on survey lines, including data types recorded and daily events. Minimum parameter requirements found in [Appendix H](#).
- system parameters relevant to backscatter data acquisition include:
  - environmental parameters controlling sound speed and absorption within the water column
  - weather and sea conditions
  - backscatter intensity
  - source level
  - pulse length
  - transmit beam patterns
  - receive beam patterns
  - receiver time varying gain functions
  - path length attenuation characteristics (spherical spreading and absorption coefficient)
  - seabed grazing angle
- SVP deployments (filename, time, lat, long, depth, SV sonar head reading (used for comparison))
- log for additional data collected, such as seabed samples (section 2.6)

Processing logs should include detailed changes made to any variables not captured in the datagram. For example:

- SVP refraction correction
- Surface artefact correction.

## 6.2.2 Report of Survey

The Report of Survey (ROS) should give a comprehensive account of how the seabed mapping survey was carried out, the results achieved, and any difficulties encountered. A template can be found in [Appendix H](#), but at a minimum, it is recommended to include the following (modified from AHO, 2018):

### Report heading:

- seabed mapping survey title and associated reference number
- mapped by (agency/company/etc. and seabed mapping lead)
- dates of mapping
- report of seabed mapping
- version
- date of the report

### Introduction:

- dates: give start and end dates with activities that took place during the survey, especially where swath acoustic data was acquired while executing other activity (transit and sampling)

- map: give general map of where the data was collected, including coordinates of coverage
- setting conditions: general statement on weather and sea conditions as these are essential to understand data quality. Provide also information on oceanographic conditions which explain SVP frequency
- completion: comment on completeness of the survey, including opinion in regards to coverage and line spacing, and MBES data type recorded

#### Standards:

- local datum epoch and transformation parameters: provide a table with the relevant information that was used within the acquisition software. In addition, all software used on the survey must contain the correct datum parameters and this should be checked independently and evidenced here.
- horizontal and vertical accuracy: the following section confirms that the horizontal and vertical accuracy of soundings acquired during the *Survey Name* seabed mapping survey are compliant/non-compliant with the (IHO/LINZ/Other) standard for position and depth accuracy
- TPU: comment on TPU in reporting relative to various industry standards and provide a Table (see example Table 5 from section 5.2) with a detailed analysis of the TPU estimates for the relevant depth bands mapped for the project, using *name of software*

#### Seabed sampling:

- method: describe method used and problems with equipment or recovery of the samples, state sampling interval and any particular samples obtained from interesting features, state the number, plan for analysis and submission of samples

**Tides and sounding datum** (see section 13.4.1.9 in AHO, 2018)

#### Wrecks and danger found:

- Provide a table with any notifications made in accordance with legislation ([section 4.6](#))

## 7 Data submission and release

The AusSeabed Data Hub is the national repository for all seabed mapping data collected within the legal boundaries of the Australian Continental Shelf and the Australian Charting Area and any data that lies outside this region but is considered of value to the Australian marine community or was commissioned by Australian entities. Data submitted and distributed through the hub, in accordance with the AusSeabed Data Submission and Distribution policies, will be made publicly available through the [AusSeabed Marine Data Portal](#) under a [Creative Commons Attribution 4.0 International licence](#). Data Distributed through the AusSeabed Marine Data Portal are done so under the proviso that they are not used for navigational purposes. Data subjected to embargos or confidentiality agreements will not be considered. It is worth noting that this infrastructure is undergoing development to function as a federated hub, whereby, organisations can emulate the architecture of the AusSeabed Data Hub, retaining custodianship over their data while making it discoverable and accessible through the AusSeabed Portal. Institutions wishing to pursue this path are encouraged to contact [ausseabed@ga.gov.au](mailto:ausseabed@ga.gov.au) for more information.

### 7.1 Data submission to AusSeabed

Data submitted to the AusSeabed Data Hub needs to comply with the following final QA/QC checklist:

- Ensure that calibration values have been applied adequately, i.e. not doubled up through the various software used (e.g. applied by the acquisition software and again by the processing software). See [section 3](#).
- Data should be delivered according to formats and specifications listed in [Table 5](#) of [section 2.3.1](#)
- Where processing or cleaning has been applied it has been done according to [section 5](#).

Data can be transferred to AusSeabed using any one of a number of secure online data transfer mechanisms (Google Drive, One Drive, Cloudstor, Drop Box, directly through the National Computing Infrastructure, by sharing permissions to Amazon S3, etc.). If no online data transfer method is possible, data can be sent to Geoscience Australia using a hard drive. Please follow the steps outlined below to ensure efficient delivery of data and contact [ausseabed@ga.gov.au](mailto:ausseabed@ga.gov.au) if unsure during any stage of the process:

1. Ensure that data meet the Final QA/QC requirements above and that all files outlined in [Table 11](#) have been prepared for submission.
2. Contact AusSeabed ([ausseabed@ga.gov.au](mailto:ausseabed@ga.gov.au)) and AHO ([datacentre@hydro.gov.au](mailto:datacentre@hydro.gov.au)) to inform of the intention to submit data. This communication with AusSeabed can be used to determine the most convenient method for file transfer. If hard drives are used, they will be returned to sender. If your submission is a requirement of your funder or regulator (e.g. permit provider) please include the funder or regulator in your correspondence.
3. Send data and associated files to AusSeabed.
4. Provide access to the data's metadata record by either:
  - a) publishing the metadata record(s) to the [Australian Ocean Data Network \(AODN\) catalogue](#) as soon as possible after metadata has been quality controlled and pass the publication details of

the metadata record on to [ausseabed@ga.gov.au](mailto:ausseabed@ga.gov.au). Publishing the record with AODN can be done in one of two ways:

- i. If metadata from your agency is regularly harvested by the AODN, follow agency-specific protocols for metadata and data release.
  - ii. Otherwise, metadata records can be created and submitted via the [AODN Data Submission Tool](#). Note that user registration is required, but this is free and immediate.
- b) providing the metadata record with the data for AusSeabed to assume custodianship of the data and exclusive publication through the AusSeabed Data Hub and associated services.

Please note that other funder, or regulator metadata requirements may apply.

*Table 11 Data required for submission to AusSeabed*

Deliverable item	Specifications
Sonar file L0, L2 and L3	<a href="#">Table 5</a>
Navigation, Heave and Attitude files L0 and L2	<a href="#">Table 5</a>
Ancillary files L0 and L2 (Tide, SVP, etc.)	<a href="#">Table 5</a>
Backscatter L3	<a href="#">Table 5</a>
Records (Reports and logs)	<a href="#">Section 6</a>
Metadata	<a href="#">Section 2.3.1.3</a>
Two visual images of the bathymetric surface for manual inspection of data quality (one with sun illumination from two orthogonal directions and the other with five time's vertical exaggeration.)	

In the future, a data submission portal will be integrated with the Survey Coordination Tool and the QC tools suite (both currently being developed by AusSeabed). This will make the provision of data to the AusSeabed Data Hub a seamless and efficient user experience, utilising metadata captured during earlier stages of the surveying process and providing automated quality assurance of collected data.

## 8 Multibeam acoustics for marine monitoring

This section is particularly relevant to the acquisition of MBES data within the Australian Marine Parks (AMPs), but can be used for any surveys where habitat monitoring is a key focus. The principles presented in the preceding sections of the Australian Multibeam Guidelines should still inform and influence the planning through to acquisition phases of MBES work undertaken in AMPs and the requirements detailed in this section should be seen as a complementary lens used to refine effort for the particular needs of benthic habitat monitoring.

The AMPs were established to protect and conserve areas of ecological significance within the Australian marine estate and cover 36 per cent of our oceans, or around 3.3 million square kilometres. To ensure adequate and appropriate management of these areas, the National Environmental Science Program Marine Biodiversity Hub, AusSeabed Community and Parks Australia have defined the requirements of MBES acquisition carried out within Australian Marine Parks. These requirements will maximise the environmental and societal benefits of any MBES data collection done in these areas.

There are two particular needs for mapping habitats in AMPs: 1) baseline surveys or monitoring surveys, which are first—time acquisition of high-resolution data for exploratory purposes; and 2) monitoring surveys, which consist of repeat mapping for monitoring benthic habitat change. MBES can be used for both survey types, however, they have different acquisition and post-processing specifications.

Baseline surveys are used to map the distribution of marine benthic habitats at a particular spatial scale and provide information necessary for more targeted field surveys using such tools as towed video, AUVs and stereo baited remote underwater video stations (BRUVs) (Lucieer et al. 2013, Monk et al. 2016, Wines et al. 2020). In contrast, monitoring surveys are used to assess change in distribution and extent of targeted habitats or features (such as rocky outcrops) identified during previous baseline surveys (Rattray et al. 2009, McGonigle et al. 2010). This type of survey requires MBES data to be collected at a higher resolution and with a greater degree of positional accuracy. The survey specifications and requirements needed to meet the aims of each survey type are presented in [Table 12](#).

Table 12 Standard Operating Procedures for MBES surveys aimed for benthic habitat mapping according to purpose: Baseline surveys or Monitoring surveys

Specification	Baseline surveys	Monitoring surveys
Purpose	<ul style="list-style-type: none"> <li>Used to identify seafloor habitats and potential biodiversity hotspots.</li> <li>Used for discovery purposes in regions that have had no baseline mapping conducted.</li> </ul>	<ul style="list-style-type: none"> <li>Used to ensure spatio-temporal assessment of the seabed and habitat through repeat mapping of targeted key benthic habitats.</li> <li>The survey accuracy standard is very high to ensure reproducibility over time.</li> </ul>
Pre survey preparation	<ul style="list-style-type: none"> <li>as per <a href="#">section 2</a></li> </ul>	In addition to baseline survey specifications: <ul style="list-style-type: none"> <li>Synthesis of all pre-existing survey data into survey region database</li> <li>Identification of locations of seafloor targets to be monitored</li> </ul>
Mobilisation and calibration	<ul style="list-style-type: none"> <li>As per <a href="#">section 3</a></li> </ul>	
Data Logging	<ul style="list-style-type: none"> <li>Bathy: Mandated</li> <li>Seabed Backscatter: Mandated</li> <li>Water column backscatter: Recommended (if available)</li> </ul>	<ul style="list-style-type: none"> <li>Bathy: Mandated</li> <li>Seabed Backscatter: Mandated</li> <li>Water column backscatter: Mandated (if available)</li> </ul>
Acquisition setting	<ul style="list-style-type: none"> <li>As per <a href="#">section 4</a></li> <li>Set to equidistant mode and minimise setting changes</li> </ul>	
Sound Velocity Profiles (SVP)	<ul style="list-style-type: none"> <li>Min of 1 per day, but should be monitored.</li> <li>If sound speed at the transducer varies by &gt; 2m/s another SVP should be collected</li> </ul>	<ul style="list-style-type: none"> <li>Min of 2 per day (beginning and end of survey), but should be monitored.</li> <li>If sound speed at the transducer varies by &gt; 1m/s another SVP should be collected</li> </ul>
Geodetic Parameters	<ul style="list-style-type: none"> <li>WGS 84 (ITRF); GDA2020</li> <li>Horizontal accuracy: 5m + 5% of water depth. Vertical accuracy: 1% water depth</li> </ul>	<ul style="list-style-type: none"> <li>WGS 84 (ITRF); GDA2020</li> <li>Horizontal accuracy: absolute positioning to be &lt; 2 m. Vertical accuracy: &lt; 0.5 m</li> </ul>
Mapping Coverage & Overlap	<ul style="list-style-type: none"> <li>100% Coverage with 30% overlap between survey lines of data with 95% confidence level.</li> </ul>	<ul style="list-style-type: none"> <li>100% coverage with 60% overlap between survey lines of data with 95% confidence level.</li> </ul>
Resolution	<ul style="list-style-type: none"> <li>1 m resolution in &lt; 50m depth ; 5% of depth beyond 50 m</li> </ul>	<ul style="list-style-type: none"> <li>1 m resolution</li> </ul>
Tides and GPS Tide	<ul style="list-style-type: none"> <li>Record GPS tides. All soundings shall be reduced to the ellipsoid.</li> </ul>	<ul style="list-style-type: none"> <li>Record GPS tides. All soundings shall be reduced to the ellipsoid.</li> </ul>
Point data attribution	<ul style="list-style-type: none"> <li>All data should be attributed with its uncertainty estimate at the 95% confidence level for both position and, if relevant, depth.</li> </ul>	<ul style="list-style-type: none"> <li>All data should be attributed with its uncertainty estimate at the 95% confidence level for both position and, if relevant, depth.</li> </ul>
Metadata and Reports	As per <a href="#">section 2.3.1.3</a> and <a href="#">section 6</a>	
Data Release	As per Chapter 7. Until further notice, a metadata record should also be filled with AODN for archiving. For agencies with regular metadata harvest by the AODN, follow agency-specific protocols for metadata, otherwise create and submit metadata records via the <a href="#">AODN Data Submission Tool</a> . Note that user registration is required, but this is free and immediate.	
Notification	After the data has been successfully received by AusSeabed and metadata uploaded to the AODN, please contact <a href="mailto:marineparks@awe.gov.au">marineparks@awe.gov.au</a> to confirm delivery of data.	

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# Appendices

## Appendix A – Abbreviations

Table A1 Abbreviations used in this document

AHO	Australian Hydrographic Office
AMP	Australian Marine Park
AUV	Autonomous underwater vehicle
BIST	Built-in Systems Test (Kongsberg specific)
BITE	Built-in test environment (Reson specific)
BM	Benchmark
CD	Chart Datum
CTD	Conductivity / Temperature / Depth
CRP	Common Reference Point
DGNSS	Differential Global Navigation Satellite System
DGPS	Differential Global Positioning System
DOP	Dilution of Precision
GA	Geoscience Australia
GDA2020	Geodetic Datum of Australia 2020
GNSS	Global Navigation Satellite System
GPS	Global positioning system
HAT	Highest Astronomical Tide
HIPP	Hydroscheme Industry Partnership Program
ICSM	Inter-Governmental Committee on Surveying and Mapping
IHO	International Hydrographic Organisation
IMU	Inertial motion unit
ISO	International Organisation for Standardisation
ITRF	International Terrestrial Reference Frame
LAT	Lowest Astronomical Tide
LINZ	Land Information New Zealand
MBES	Multibeam Echo Sounder (inclusive of interferometric bathymetric swath systems)
MHHW	Mean High Water
MHWS	Mean High Water Springs
MLWS	Mean Low Water Springs
MRU	Motion Reference Unit
MSL	Mean Sea Level
NESP	National Environmental Science Program
NM	International Nautical Mile
PPK	Post Processed Kinematic
PPS	Pulse Per Second

QA	Quality Assurance
QC	Quality Control
ROS	Report of Survey
ROV	Remotely operated vehicle
RTK	Real Time Kinematic
SD	Sounding Datum
SIC	Seabed mapper in Charge
SMS	Seabed Mapping System
SO	Special Order
SV	Sound Velocity
SVP	Sound Velocity Probe or Sound Velocity Profile
SVS	Sound velocity sensor
THU	Total Horizontal Uncertainty
TPU	Total Propagated Uncertainty
TVU	Total Vertical Uncertainty
UTC	Coordinated Universal Time
UTM	Universal Transverse Mercator
WGS-84	World Geodetic System 1984
XBT	Expendable Bathythermograph

## Appendix B – Glossary

Below are some of the terms used in these guidelines. A more extensive list of hydrographic terms and definitions can be found in Table 2.1.2 of AHO (2018).

**% Overlap:** refer to the amount of overlap between adjacent swaths. 0% overlap means that the ship tracks are run so that the outer beams of the swath meet the outer beam of the adjacent swath, which is not recommended, 10-20 % overlap is recommended (Figure B1). 100% overlap means that the adjacent ship track is run along the outer beam edge (meeting the required specification) of the previous swath (Figure B2). Refer to section 7.4 of AHO (2018) for more details

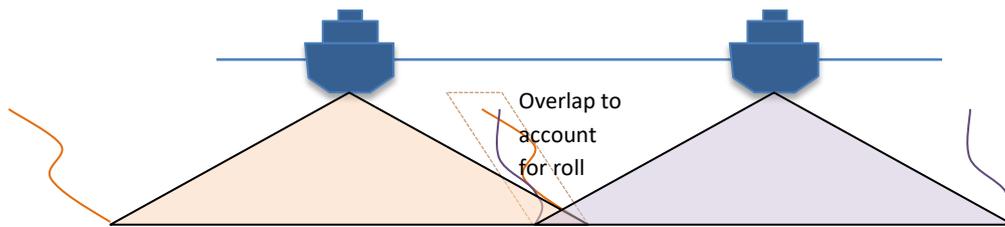


Figure B1 100% swath coverage with 10-20 % overlap to account for ship roll and line keeping (AHO, 2018)

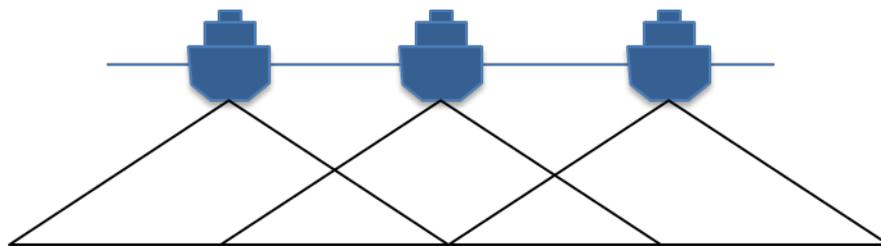


Figure B2 200% swath coverage with 100 % overlap (AHO, 2018)

**Blunders:** See Error, gross.

**Checkline:** Sounding lines that are run perpendicular to the main survey lines and used to QA the soundings.

**Coverage:** portion of the seabed cover by the multibeam swath. 100% coverage refers to 100% of the seabed covered by the swath without any overlap (Figure B1), while 200% coverage refers to 100 % overlap (Figure B2). Partial coverage refers to a seabed coverage that is less than 100%.

**Crossline:** also known as checkline

**Depth:** Depth is a vertical distance from a given vertical datum. Depths are derived by MBES from measurements of angles and ranges corrected for environmental factors. Horizontal Position is provided to derived depth by GNSS-Inertial system thus providing an xyz value. GNSS Inertial system derived vertical position from measurements of angular rates and acceleration.

**Dimension control:** consists of determining the relationship between the measurement sensor and the platform Common Reference Point.

**Error:** The difference between an observed or computed value of a quantity and the ideal or true value of that quantity.

**Error, gross:** The result of carelessness or a mistake; may be detected through repetition of the measurement. Also called *blunder*.

**Error, random:** remaining uncorrelated noise in the system, or noise, also known as accidental error.

**Patch test:** A patch test is a specific survey performed prior to principal survey to allow adjustments of the MBES data for parameters such as transducer error (pitch, roll and yaw), and navigation latency. This test is done since the MBES has no reference to external fixed frame of reference (satellite constellation isn't visible underwater), the MBES receives its "frame" from GNSS-Inertial system. These adjustments are entered in the acquisition software. For patch test patterns see [Appendix F](#).

**Seabed backscatter:** Defined as the amount of acoustic energy being received by the sonar after a complex interaction with the seabed. Measured as the ratio between the intensity of the acoustic pulse scattered back by the seafloor and the incident intensity, this information can be used to determine bottom type, knowing that the different bottom types "scatter" sound energy differently. The intensity of the backscatter received at the transducer depends on the transmitted source level, the transmission loss (absorption in the water column and geometrical spreading), and the target strength. Many multibeam sonar systems offer two types of seabed backscatter data namely "one-per-beam" backscatter (either beam average or max intensity) and "time series" backscatter. For further information on backscatter refer to Lamarche and Lurton, 2017

**Sounding datum:** This datum is used while mapping. It is a low-water plane to which soundings are reduced and above which drying heights are given on the Standard Sheet and in other survey records. However, for chart datum, tidal reduction is essential ([Figure 3](#)).

**Swath system:** Current swath sounding systems utilize two differing technologies to achieve bathymetry measurements across a "swath" of the sea floor: 1) Beam forming (multibeam echo sounders), and 2) interferometric or phase discrimination sonars, also known as bathymetric sidescan. Both of these techniques have their merits; however, the same end results are achieved.

**Systematic error:** see error.

**Transit data:** Transit data include any data collected outside the survey specific area, e.g. data collected between port and survey area or between sampling sites. In hydrographic terms, this is referred to as passage soundings.

**Water Column backscatter:** Recently developed multibeam sonars have the capability to record the sonar time series for each beam, which maps the water column in addition to the seafloor. Water column data could be used for direct mapping of fish and marine mammals, the mapping of plumes and vents, the location of mid-water targets, and a wide range of physical oceanographic processes.

## Appendix C – Legislation and permitting

Table C1 List of documents relevant to multibeam activities in the Commonwealth waters (defined as 3 nautical miles seaward to the outer boundary of the EEZ, 200 nautical miles). Extracted from *Marine Sampling Field Manuals* (Przeslawski and Foster, 2018). Similar issues should be considered when working in coastal waters of States and the Northern Territory.

Activity	Activity Type	Jurisdiction	Responsible Agency	Legislation/Treaty/ Documents	Requirements for approval	Link
Research and monitoring	All activities	Australian Marine Parks	Department of Agriculture, Water and Environment (DAWE)	<i>Environment Protection and Biodiversity Conservation Act 1999 (Cth)</i> (EPBC Act)	Authorisation is required for all zones	<a href="https://parksaustralia.gov.au/marine/contact/">https://parksaustralia.gov.au/marine/contact/</a>
	Activities with potentially significant impact on a matter of national environmental significance	Commonwealth	DAWE	Australian Marine Park Management Plans  EPBC Act	EPBC Act referral Public consultation, including indigenous stakeholders	<a href="http://www.environment.gov.au/protection/environment-assessments/">http://www.environment.gov.au/protection/environment-assessments/</a> <a href="http://www.environment.gov.au/epbc/what-is-protected">http://www.environment.gov.au/epbc/what-is-protected</a>
	All activities	Heard Island and McDonald Islands	DAWE	Environment Protection and Management Ordinance 1987 (HIMI) EPBC Regulations 2000	Permit required	<a href="https://www.antarctica.gov.au/living-and-working/travel-and-logistics/cargo-and-freight/types-of-cargo/scientific-samples/environmental-approvals/">https://www.antarctica.gov.au/living-and-working/travel-and-logistics/cargo-and-freight/types-of-cargo/scientific-samples/environmental-approvals/</a>
	All activities	Antarctica (south of 60°S)	DAWE	Antarctic Treaty (Environment Protection) Act 1980  <i>Antarctic Marine Living Resources Conservation (AMLRC) Act 1981</i>	Authorisation <b>and</b> permit required  <i>AMLRC Act permit required if carrying out research with respect to marine living organisms in the CCAMLR Convention Area</i>	<a href="https://www.antarctica.gov.au/environment/environmental-impact-assessment-approvals-and-permits/">https://www.antarctica.gov.au/environment/environmental-impact-assessment-approvals-and-permits/</a>

<b>Interactions with Cetaceans</b>	Acoustic equipment with received exposure level 160dB re 1 $\mu$ Pa <sup>2</sup> .s for 95% of shot at 1km range (seismic)	Commonwealth	DAWE	EPBC Act Policy Statement 2.1	EPBC Referral and comply with Policy Statement 2.1	<a href="http://www.environment.gov.au/resource/epbc-act-policy-statement-21-interaction-between-offshore-seismic-exploration-and-whales">http://www.environment.gov.au/resource/epbc-act-policy-statement-21-interaction-between-offshore-seismic-exploration-and-whales</a>
	Vessel interaction	Commonwealth	DAWE	<i>EPBC Act. Regulations 2000</i> (Cth) (EPBC Regulations) part 8	Report death, injury, stranding or entanglement of whales and dolphins to DoEE. Specific requirements for vessels	<a href="https://www.legislation.gov.au/Details/F2016C00914">https://www.legislation.gov.au/Details/F2016C00914</a>
<b>Interaction with Heritage</b>	Historic Ship wrecks	Continental shelf waters (incl. some areas > 200 nm)	DAWE	<i>Historic Shipwrecks Act 1976</i> (Cth)	Ship wrecks and relics older than 75 years and lying within protected zones.	<a href="http://www.environment.gov.au/heritage/historic-shipwrecks">http://www.environment.gov.au/heritage/historic-shipwrecks</a>
<b>Restricted vessel movement and moored scientific equipment that create navigation hazards</b>			Australian Hydrographic Service AHS Australian Marine Safety AMSA		Notice to mariners 2-3 weeks prior to survey commences.  Vessel to RCC to update NAVAREA X alerts	<a href="https://www.amsa.gov.au/safety-navigation/navigation-systems/maritime-safety-information-database">https://www.amsa.gov.au/safety-navigation/navigation-systems/maritime-safety-information-database</a> <a href="mailto:datacentre@hydro.gov.au">datacentre@hydro.gov.au</a> <a href="mailto:rccaus@amsa.gov.au">rccaus@amsa.gov.au</a>
<b>Research in the Great Barrier Reef Marine Park GBRMP</b>	Research, except for limited impact research.	GBRMP	Great Barrier Reef Marine Park Authority GBRMPA	<i>Great Barrier Reef Marine Park Act 1975</i> (Cth) <i>EPBC Act</i>	Limited impact research may be conducted under a letter of authority issued by an accredited educational or research institutions All other research requires permission	<a href="http://www.gbrmpa.gov.au/zoning-permits-and-plans/permits">http://www.gbrmpa.gov.au/zoning-permits-and-plans/permits</a> <a href="http://www.gbrmpa.gov.au/zoning-permits-and-plans/permits/research-permissions">http://www.gbrmpa.gov.au/zoning-permits-and-plans/permits/research-permissions</a>
<b>Research around petroleum and other infrastructure</b>		Commonwealth	National Offshore Petroleum Safety and Environmental Management Authority (NOPSEMA)	<i>Sea Installations Act 1987</i>	Vessels prohibited to go within a safety zone of 500m	<a href="http://www.environment.gov.au/topics/marine/marine-pollution/sea-dumping/sea-installations">http://www.environment.gov.au/topics/marine/marine-pollution/sea-dumping/sea-installations</a>

<b>Accessing areas where a Native Title determination exists</b>	All activities	Commonwealth	National Native Title Tribunal	<i>Native Title Act 1993 (Cth)</i>	Refer to National Native Title Tribunal registers.	<a href="http://www.nntt.gov.au/Pages/Home-Page.aspx">http://www.nntt.gov.au/Pages/Home-Page.aspx</a>
<b>Activities within Defence Offshore Training Areas or Restricted Airspace</b>	All activities	Commonwealth	Department of Defence (DoD)		Refer to NOTAMs, NTMs and AUSCOAST or NAVAREA X warnings	<a href="http://www.hydro.gov.au/factsheets/WFS_Firing_Practice_And_Exercise_Areas.pdf">http://www.hydro.gov.au/factsheets/WFS_Firing_Practice_And_Exercise_Areas.pdf</a> <a href="https://www.airservicesaustralia.com/aip/aip.asp">https://www.airservicesaustralia.com/aip/aip.asp</a> <a href="https://nationalmap.gov.au/#share=s-wMPX5gwIzCpGccu8ijiVrF4RF1x">https://nationalmap.gov.au/#share=s-wMPX5gwIzCpGccu8ijiVrF4RF1x</a> <a href="mailto:offshore.petroleum@defence.gov.au">offshore.petroleum@defence.gov.au</a> <a href="mailto:ADF.Airspace@defence.gov.au">ADF.Airspace@defence.gov.au</a>
<b>Impact on the commercial fishing industry</b>	Activities with potentially significant impact fish stocks or habitat	Commonwealth	<i>Commonwealth Fisheries Association (CFA)</i>	<i>Fisheries Management Act 1991 (Cth)</i>	Consultation	<a href="https://www.afma.gov.au/sustainability-environment/petroleum-industry-consultation">https://www.afma.gov.au/sustainability-environment/petroleum-industry-consultation</a> (List of regional bodies on website) <a href="mailto:ceo@comfish.com.au">ceo@comfish.com.au</a>

Laws and regulations regarding multibeam sonar acquisition in State and Territory waters (less than 3 nm from the coast) vary slightly across jurisdictions, but they are generally not restricted or subject to permit requirements, with the exception of:

- Survey undertaken in Marine Protected Areas (for guidance see Marine Protected Areas section above).
- Survey carrying out extractive work (marine biota) or work that could be considered destructive to marine habitats.
- Surveys undertaken across areas with access restrictions (e.g., naval waters, commercial ports, or shipping channels).
- Surveys carried out in New South Wales for the purposes of resource exploration (permission through NSW Resources and Energy - Environment and Planning).

Table C2 Weblinks to state and territory permits

<a href="#">VIC Research</a>	<a href="#">SA Research</a>	<a href="#">NSW Research</a>	<a href="#">NT Research</a>	<a href="#">TAS Research</a>	<a href="#">QLD Research</a>	<a href="#">WA Research</a>
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## Appendix D – Guideline on timeframe for actions

*Table D1 Estimated time frame required to perform some of the swath system related tasks. These estimates are to assist in survey planning, but note that they can vary considerably depending on the difficulty or the issues arising from the task performed.*

Action	Timeline to be expected
Authorisation/permits from authority	Months
Mobilisation, calibration, validation (does not include time to manufacture mounts to fit the system)	3-5 days
Patch test	2 hrs to 0.5 day
Self-system test	2-5 minutes
SVP cast (depends on water depth and device)	20 min plus deployment time of the SVP, which depends on water depth (based on SVP not XBT device)
Crossline	0.5 day (depends on survey area)
Acquisition vs Processing ratio (depends on the quality of the input data and the level of cleaning)	1:1 to 1:3

## Appendix E – Total Propagated Uncertainties

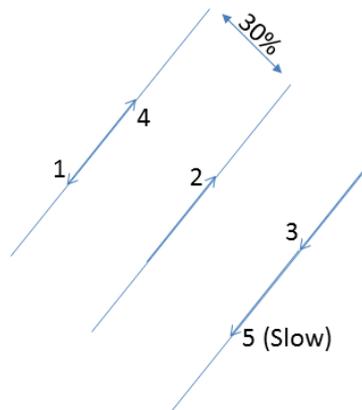
Table E16 Sounding Accuracy - Example MBES Total Propagated Uncertainty Estimates to a 95 % CL

Uncertainty Source	Value	Reference to Accuracy Value for Total Propagated Uncertainty Computation
Heading (degrees)	0.05	(Make/Model) – Manufacturer Accuracy Value
Smart Heave (Amplitude %)	2.5	(Make/Model) – Manufacturer Accuracy Value
Real-Time Heave (Amplitude %)	5.0	
Smart Heave (m)	0.025	(Make/Model) – Manufacturer Accuracy Value
Real-Time Heave (m)	0.05	
Roll (degrees)	0.01	(Make/Model) – Manufacturer Accuracy Value
Pitch (degrees)	0.01	(Make/Model) – Manufacturer Accuracy Value
Navigation (m)	0.10	(Make/Model) – Manufacturer Accuracy Value
Transducer Timing (s)	0.001	Estimated – 1PPS (Make/Model)
Navigation Timing (s)	0.001	Estimated – 1PPS (Make/Model)
Heading Timing (s)	0.001	Estimated – 1PPS (Make/Model)
Heave Timing (s)	0.001	Estimated – 1PPS (Make/Model)
Pitch Timing (s)	0.001	Estimated – 1PPS (Make/Model)
Roll Timing (s)	0.001	Estimated – 1PPS (Make/Model)
Offset X (m)	0.02	Estimated – (Description of Dimensional Control method)
Offset Y (m)	0.02	Estimated - (Description of Dimensional Control method)
Offset Z (m)	0.02	Estimated - (Description of Dimensional Control method)
Speed (knots)	0.10	Not Applicable
Loading (m)	0.02	Estimated
Draft (m)	0.05	Estimated – (Description of measurement)
Delta Draft (m)	0.02	Estimated - Vessel Dynamic Draft (Squat/Settlement) Calibration
MRU Heading Alignment (degrees)	0.05	Estimated - Multi-beam Patch Test Calibration
MRU Pitch/Roll Alignment (degrees)	0.05	Estimated - Multi-beam Patch Test Calibration
Tidal Measurements (m)	0.02 0.02 0.03 0.05	(Make/Model) TG – Manufacturer Accuracy Value (Make/Model) Barometer – Manufacturer Accuracy Value Estimated - GNSS Buoy TG calibration Estimated – Accounting for above Contributions
Tidal Zoning (m)	0.10	Estimated - Co-Tidal Model
SVP Profile Measurement (m/s)	0.02 0.50	(Make/Model) – Manufacturer Accuracy Value Estimated - Temporal and Spatial Variation
SVP Surface Measurement (m/s)	0.017	Make/Model) - Manufacturer Accuracy Value
Sonar Measurement		MBES Device Models File

## Appendix F – Patch test

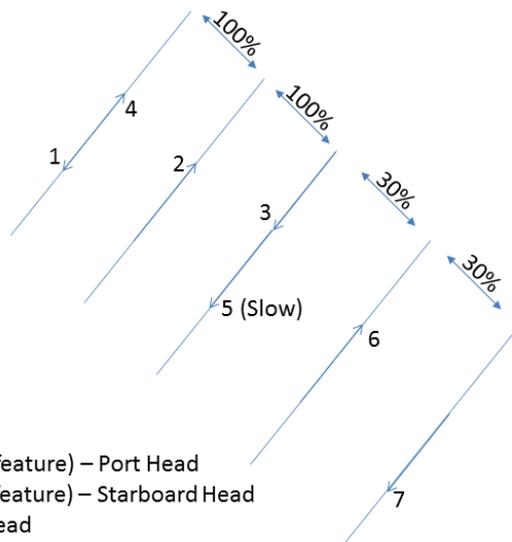
The figures below shows the pattern to use for the patch test of a MBES system with one (Figure F1) or two (Figure F2) sonar head configurations.

For **backscatter calibration** see [section 4.3.2](#)



Latency: 3 & 5 (over a slope/feature)  
 Pitch: 1 & 4 (over a slope/feature)  
 Yaw: 1 & 2 (on opposite sides of slope/feature)  
 Roll: 1 & 4 (over a flat seabed)

Figure F1 Proposed line pattern for single head sonar patch test



Latency: 3 & 5 (over a slope/feature)  
 Pitch: 1 & 4 (over a slope/feature)  
 Yaw: 3 & 6 (on opposite sides of slope/feature) – Port Head  
       6 & 7 (on opposite sides of slope/feature) – Starboard Head  
 Roll: 1 & 2 (over a flat seabed) – Port Head  
       2 & 3 (over a flat seabed) – Starboard Head

Figure F2 Proposed line pattern for **dual-head** sonar patch test

## Appendix G – IHO Standards

Table G1 IHO standards for hydrographic surveys (S-44). Read in conjunction with document (IHO, 2008). These are presently in review by the IHO.

Reference	Order	Special	1a	1b	2
<a href="#">Chapter 1</a>	Description of areas.	Areas where under-keel clearance is critical	Areas shallower than 100 metres where under-keel clearance is less critical but <i>features</i> of concern to surface shipping may exist.	Areas shallower than 100 metres where under-keel clearance is not considered to be an issue for the type of surface shipping expected to transit the area.	Areas generally deeper than 100 metres where a general description of the sea floor is considered adequate.
<a href="#">Chapter 2</a>	Maximum allowable THU <i>95% Confidence level</i>	2 metres	5 metres + 5% of depth	5 metres + 5% of depth	20 metres + 10% of depth
<a href="#">Para 3.2 and note 1</a>	Maximum allowable TVU <i>95% Confidence level</i>	a = 0.25 metre b = 0.0075	a = 0.5 metre b = 0.013	a = 0.5 metre b = 0.013	a = 1.0 metre b = 0.023
<a href="#">Glossary and note 2</a>	<i>Full Sea floor Search</i>	Required	Required	Not required	Not required
<a href="#">Para 2.1</a> <a href="#">Para 3.4</a> <a href="#">Para 3.5</a> and <a href="#">note 3</a>	<i>Feature Detection</i>	Cubic <i>features</i> > 1 metre	Cubic <i>features</i> > 2 metres, in depths up to 40 metres; 10% of depth beyond 40 metres	Not Applicable	Not Applicable
<a href="#">Para 3.6</a> and <a href="#">note 4</a>	Recommended maximum Line Spacing	Not defined as <i>full sea floor search</i> is required	Not defined as <i>full sea floor search</i> is required	3 x average depth or 25 metres, whichever is greater For bathymetric lidar a spot spacing of 5 x 5 metres	4 x average depth
<a href="#">Chapter 2</a> and <a href="#">note 5</a>	Positioning of fixed aids to navigation and topography significant to navigation. <i>(95% Confidence level)</i>	2 metres	2 metres	2 metres	5 metres
<a href="#">Chapter 2</a> and <a href="#">note 5</a>	Positioning of the Coastline and topography less significant to navigation <i>(95% Confidence level)</i>	10 metres	20 metres	20 metres	20 metres
<a href="#">Chapter 2</a> and <a href="#">note 5</a>	Mean position of floating aids to navigation <i>(95% Confidence level)</i>	10 metres	10 metres	10 metres	20 metres

Table G2 HIPP standards for hydrographic surveys (AHO, 2018)

HIPP ORDER	HIPP - Precise	IHO – Special	IHO - 1a	IHO - 1b	HIPP - 2	IHO - 2	HIPP- Passage	
<b>TOTAL HORIZONTAL UNCERTAINTY (THU)</b>								
TOTAL HORIZONTAL UNCERTAINTY (95% Confidence Level)	1m	2m	5m + 5% of depth	5m + 5% of depth	5m + 1% of depth	20m + 10% of depth	5m + 5% of depth	
<b>SEAFLOOR SEARCH REQUIREMENTS (COVERAGE)</b>								
Swath Systems <sup>(1)</sup>	Full Seafloor Coverage (FSC)	Full Seafloor Coverage	Full Bathymetric Coverage (FBC) (LIDAR – 200% Coverage) <sup>(2)</sup>	Full Seafloor Ensonification (FSE)	Full Bathymetric Coverage	Not Required	Offset tracklines (if applicable) <sup>(3)</sup>	
<b>FEATURE DETECTION</b>								
Water Depth <40m	Swath	50cm	1m	2m	As Specified	As Specified	Not Applicable	4m
Water Depth >40m	Swath	1m	2.5% of depth	5% of depth	As Specified	2% of depth	Not Applicable	10% of depth
<b>TOTAL VERTICAL UNCERTAINTY (TVU) <sup>(4)</sup></b>								
TOTAL VERTICAL UNCERTAINTY (95% Confidence Level)	a = 0.15m b = 0.0075	a = 0.25m b = 0.0075	a = 0.5m b = 0.013	a = 0.5m b = 0.013	a = 0.6m b = 0.0085	a = 1.0m b = 0.023	a = 0.5m b = 0.023	

## Appendix H – Records templates

The following appendix provides suggested templates for records that should be produced during a seabed mapping survey. These templates can also be downloaded on the [AusSeabed](#) website.

### H.1 Mobilisation, calibration and validation report

The following [link](#) provides you with the template.

### H.2 AusSeabed minimum required metadata

Below is a table with specific field definitions and examples for each metadata field expected to accompany data submitted to AusSeabed in order for AusSeabed to assume custodianship of, and to exclusively publish the data. The fields specified are considered a minimum set that can be extended to include fields outlined in [section 2.3.1.3](#), but should not be deviated from, replaced, or altered. Note that on submission it is only required to provide the Field column and the associated survey metadata, the other columns in the table are provided for illustrative purposes only.

Table H1 Required Metadata for data submitted to AusSeabed

Category	Definition	Fields	Specific Field Definitions	Example Data
General	Basic information about the data package being submitted.	Survey title (full)	A short phrase or sentence describing the dataset. In many discovery systems, the title will be displayed in the results list from a search, and therefore should be human readable and reasonable to display in a list of such names.	<i>MH370 Phase 1 150m Bathymetry datasets</i>
		Survey ID	The ID assigned to the survey, relevant especially when an ID may be how the survey is more widely referenced.	<i>GA-4421, GA-4422, GA-4430</i>
		Abstract	A paragraph describing the dataset, analogous to an abstract for a paper.	<i>“On behalf of Australia, the Australian Transport Safety Bureau (ATSB) is leading search operations for missing Malaysian airlines flight MH370 in the Southern Indian Ocean. Geoscience Australia provided advice, expertise and support to the ATSB to facilitate bathymetric surveys ... [for full abstract visit <a href="http://pid.geoscience.gov.au/dataset/100315">http://pid.geoscience.gov.au/dataset/100315</a>]</i>

Category	Definition	Fields	Specific Field Definitions	Example Data
		Lineage	<p>Information about the events or source data used in constructing the data specified by the scope or lack of knowledge about lineage.</p> <p>Lineage can be complex to record, so can be actively linked within a metadata record either to a file within the dataset being submitted or to a hosted location where the lineage statement may be found. If neither of these options are preferred, a full narrative may also be provided.</p>	<p><i>"link-to-lineage-statement"_OR</i>  <i>Full text:</i>  <i>"The MH370 Search bathymetry Surveys, GA-4421 GP1483 was acquired by the Australian Government through ATSB/GA on-board the MV Fugro Equator from the 05th of June to the 30th of July 2016, GA-4422 through the Chinese Navy Vessel Zhu Kezhen 872 from the 3rd June to 31 August 2014 and from the 5th January to the 30 April 2015 for the MV Fugro Supporter....."</i></p>
Contact for the Data	Information that is related to contacts for the data	Data Owner	. The person and/or organisation that owns the submitted data for the purpose of empowering AusSeabed to act as a custodian	<i>Commonwealth of Australia</i>
		Custodian	The person and/or organisation that accepts, archives and disseminates the data	<i>Commonwealth of Australia</i>
		Point of Contact	The person and/or contact details for initiating contact regarding the data	<i>Commonwealth of Australia (Geoscience Australia) clientservices@ga.gov.au (Manager Client Services) Cnr Jerrabomberra Ave and Hindmarsh Dr GPO Box 378, Canberra, ACT, 2601, Australia Call 1800 800 173,02 6249 9960</i>
		Collecting Entity	The organisation that was responsible for collecting the data being described.	<i>Australian Transport Safety Bureau (ATSB)</i>
Citation	Information that is collected to ensure appropriate credit is assigned for the data being provided, and ensuring the data's intended use of the data is clear.	Attribution Licence (citation)	Statement of attribution that must be included whenever the data being provided is distributed/redistributed or used by another organisation.	<i>2017. MH370 Phase 1 150m Bathymetry datasets (GA-4421, GA-4422 &amp; GA-4430). Geoscience Australia, Canberra. <a href="http://pid.geoscience.gov.au/dataset/100315">http://pid.geoscience.gov.au/dataset/100315</a></i>
		Legal Constraints	Restrictions and legal prerequisites for accessing and using the resource or metadata	<i>Creative Commons Attribution 4.0 International Licence <a href="http://creativecommons.org/licenses/">http://creativecommons.org/licenses/</a></i>
		Access Constraints	Details of any constraints that are not determined under the licence constraints regarding the access to the information being provided. Access constraints are applied to assure the protection of privacy or intellectual property, and any special restrictions or limitations on obtaining the resource or metadata	<i>As per licence</i>
		Use Constraints	Details of any constraints that are not determined under the licence constraints regarding the use of the information being provided.	<i>As per licence</i>

Category	Definition	Fields	Specific Field Definitions	Example Data
		Country (of data ownership)	Country of the owner of the data.	<i>Australia</i>
Survey Positioning Data	The information provided in the positioning data provides for both an overview of the survey's coverage, and the primary coordination reference system that was used to collect/prepare the survey data.	Survey area (general)	Plain English description of the location of the survey.	<i>Indian ocean approximately 1100nm off the coast of Perth Australia.</i>
		Survey bounding box coordinates	The detailed coordinates of the survey. This may be provided in a variety of formats, however full positioning information is required.	<i>78.00, -42.00, 116.00, -12.00</i>  <i>"WGS 84 / UTM zone 44S (EPSG:32744)", "WGS 84 / UTM zone 46S (EPSG:32746)", "WGS 84 / UTM zone 47S (EPSG:32747)", "WGS 84 / UTM zone 48S (EPSG:32748)", "WGS 84 / UTM zone 49S (EPSG:32749)", "WGS 84 / UTM zone 50S (EPSG:32750)"</i>
		Coordinate reference system - Bounding Box	The coordinate reference system used to define the survey bounding box.	
		Coordinate reference system - Survey Data	The coordinate reference system used for data collection.	<i>"WGS 84 / UTM zone 44S (EPSG:32744)", "WGS 84 / UTM zone 46S (EPSG:32746)", "WGS 84 / UTM zone 47S (EPSG:32747)", "WGS 84 / UTM zone 48S (EPSG:32748)", "WGS 84 / UTM zone 49S (EPSG:32749)", "WGS 84 / UTM zone 50S (EPSG:32750)"</i>
Reference System	The finer details of the reference system used for data collection.	Geodetic datum of the survey	The reference datum of the data collected	<i>WGS 84</i>
		Horizontal Datum	The horizontal reference datum for data collection	<i>UTM</i>
		Vertical Datum	The vertical reference datum for data collection	<i>MSL</i>
Survey Configuration	The configuration of the survey as it ran.	Instrument type	The type of instrument used to capture the data. Suggested values are: <ul style="list-style-type: none"> <li>- Multi-beam</li> <li>- Single-Beam</li> <li>- Bathy LiDAR</li> <li>- Airborne Imagery</li> <li>- Satellite</li> <li>- Side-Scan</li> <li>- Sub-Bottom profiler</li> </ul>	<i>Multi-beam Sonar</i>
		Sensor type	The type of sensor used to collect the data being provided.	<i>EM2040</i>
		Sensor Frequency	Frequency at which the survey was conducted. This may be provided as multiple values based on the sensor's capabilities.	<i>200-400kHz</i>
		Platform type	The platform hosting the instruments and sensors used to collect the data.	<i>Ship, AUV</i>
		Platform Name	The name of the platform used to collect submitted data	<i>RV Investigator</i>





## H.4 Report of Survey template

The following minimum template has been modified from AHO AH68 Survey Summary Template, which can be found in full [here](#). A full Report of Survey format can be found in [IHO publication C13](#). Guidance on Confidence Levels and Error Ellipse scaling is contained in ICSM (2014a), uncertainties from IHO publication S-44 or by contacting the Bathymetric Data Assessment Section at the Australian Hydrographic Office on 02 4223 6500.

### Introduction

<b>Survey Title and ID</b>	<b>Locality</b>
<b>Survey Authority</b>	<b>Survey Sponsor/Custodian</b>
<b>Surveyor in Charge and qualification</b>	<b>Date this Survey Summary was completed</b>
<b>Start Date of Survey</b>	<b>End Date of Survey</b>
<b>Survey Platform/Vessel Name</b>	<b>Survey Platform/Vessel Name</b>
<b>Purpose of the Survey</b>	

### Horizontal Control

<b>Soundings are on the following datum (WGS84 preferred but not essential)</b>	
<b>Datum</b>	
<b>Spheroid</b>	
<b>Projection and Zone</b>	
<b>Was the positioning system validated?</b>	
<b>Were laybacks applied?</b>	

**Estimated horizontal accuracy of soundings at 2 Sigma (95%) confidence level (Calculations can be included as an attachment. Don't know? Enter "Not Known")**

## Vertical Control

<b>Tides Applied</b>	
<b>Soundings Datum</b>	
<b>Tide Station 1 Details</b>	
<b>Benchmark (BM) used and Datum connection</b>	
<b>Geoid details if using GPS tides</b>	
<b>Tide Station 2 Details</b>	
<b>Benchmark (BM) used and Datum connection</b>	
<b>Geoid details if using GPS tides</b>	
<b>Tide Station 3 Details</b>	
<b>Benchmark (BM) used and Datum connection</b>	
<b>Geoid details if using GPS tides</b>	
<b>Tide Model comments (if applicable)</b>	
<b>Were soundings corrected for draught?</b>	
<b>Were the soundings corrected for sound velocity?</b>	

Estimated vertical accuracy of soundings at 1.96 Sigma (95%) confidence level (Calculations can be included as an attachment. Don't know? Enter "Not Known")

The following positioning systems were used:

Positioning System 1

Positioning System 2

Base station (If applicable)

The following sounding systems were used:

Model / System Details	Frequency (kHz)
Echosounder 1	
Echosounder 2	

Logging and Processing Systems used, and Versions:

Logging

Processing

Was the survey systematically controlled with planned survey lines or methods?

Was full feature detection achieved as defined in IHO publication S-44, Edition 5, February 2008?

If feature detection was achieved, what Order of features is applicable?

Feature detection comments (if applicable)

Were all shoal depths systematically investigated and their least depths determined?	
Has data been thinned from that collected?	
If thinned, what thinning method and bin size was used?	
Remarks (If applicable)	

## Shoals and Dangers

This section seeks comments on any features that may be dangerous to surface navigation. (Comments as required. General location and depth references, pictures, screen dumps, etc. will assist. Has a Hydrographic Note or Danger to Navigation Report been submitted?)

## Wrecks

This section seeks comments on any wrecks detected during the course of survey. (Comments as required. General location and depth references, pictures, screen dumps, etc. will assist.)